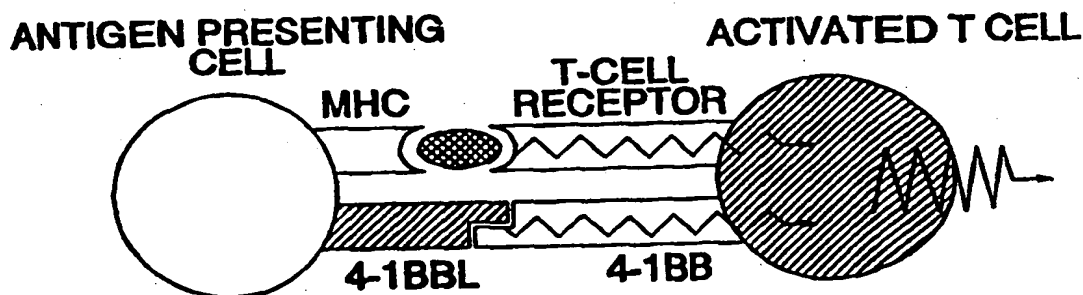




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(54) Title: METHODS OF USING HUMAN RECEPTOR PROTEIN 4-1BB



## (57) Abstract

Disclosed herein are methods of using the H4-1BB protein, ligands to this protein, and various mAbs either directed against H4-1BB or other molecules that can be used therapeutically. The nature and importance of the H4-1BB molecule provides the ligands and related co-stimulatory molecules the ability to enhance or suppress T cell activation and proliferation. By treating T cells that have expressed receptor protein H4-1BB with one of the four anti-H4-1BB monoclonal antibodies disclosed herein, activation or inhibition of the immune response is seen. Also disclosed herein is cDNA for the human receptor H4-1BB. The cDNA of the human receptor H4-1BB is about 65 % homologous to the mouse cDNA 4-1BB and was isolated by using probes derived from murine cDNA 4-1BB. A fusion protein for detecting cell membrane ligands to human receptor protein H4-1BB was developed. It comprises the extracellular portion of the receptor protein H4-1BB and a detection protein, alkaline phosphatase, bound to the portion of the receptor protein H4-1BB. B cells that have expressed a ligand to receptor protein H4-1BB can be treated with cells that have expressed receptor protein H4-1BB and B cell proliferation may be induced. The use of H4-1BB to block H4-1BB ligand binding has practical application in the suppression of the immune system during organ transplantation or against autoimmune diseases including diabetes, rheumatoid arthritis, and lupus. Other applications of this technology include the development of therapeutic methods for the treatment of HIV-1 infected individuals, and the treatment of cancerous tumors.

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## METHODS OF USING HUMAN RECEPTOR PROTEIN 4-1BB

### Cross-Reference to Related Applications

This application is a continuation-in-part of U.S. application Serial  
5 No. 09/007,097, filed January 14, 1998.

### Field of the Invention

The present invention relates to the therapeutic and scientific uses for the  
human H4-1BB protein, its ligands, and the development of monoclonal  
10 antibodies that recognize and bind the H4-1BB receptor protein.

### Background of the Invention

The immune system of humans and other species require that white blood  
cells, which include phagocytes, T lymphocytes and B cells, be made in the bone  
15 marrow. The phagocytes include macrophage cells which scavenge unwanted  
materials, such as virus proteins or bacterial cell walls from the system. The  
lymphocytes include helper T cells (Th), killer T cells and B cells, as well as  
other types of cells, including those categorized as suppressor T cells. The B  
cells produce the antibodies. The killer T cells physically destroy target cells  
20 and the helper T cells facilitate the whole process. The complexities of the  
immune system and its function is facilitated, at least in part, by the  
lymphokines.

Lymphokines are signal transduction proteins by which the immune cells  
communicate with each other. Scientists have been able to produce them in  
25 sufficient quantities for therapeutic use against immunologic diseases. There are  
many known lymphokine proteins and they include the interferons, interleukins-  
1,2,3,4,5,6,7, colony-stimulating factors, lymphotoxin, tumor necrosis factor and  
erythropoietin, as well as others.

Interleukin 1 (IL-1), secreted from macrophages activates the helper  
30 T cells and acts to raise body temperature, causing fever, which enhances the  
activity of the immune cells. The activated helper T cells produce interleukin 2  
(IL-2), which in turn stimulates the helper and killer T cells to grow and divide.

The helper T cells also produce another lymphokine, B cell growth factor (BCGF), which causes B cells to multiply. As the number of B cells increases, the helper T cells produce another lymphokine known as the B cell differentiating factor (BCDF), which instructs some of the B cells to stop  
5 replicating and start producing antibodies.

T cells also produce gamma interferon (IF), which is similar to Interleukin 2 in that it has multiple effects. Gamma interferon helps activate killer T cells, enabling them to attack the invading organisms. Like BCGF, gamma interferon increases the ability of the B cells to produce antibodies. IF  
10 also keeps the macrophages at the site of the infection and helps the macrophages digest the cells they have engulfed. Gathering momentum with each kind of lymphokine signal between the macrophages and the T cells, the lymphokines amplify the immune system response such that the virus protein, an infected cell, or other foreign matter is overwhelmed and removed from the  
15 system. There are many lymphokines, maybe a hundred or more, which participate in the complex web that is the immune process. Many lymphokines and their precise effects remain unknown.

Lymphokine activities are produced when a certain lymphokine binds to its specific receptor on the surface of a target cell. Among scientists there is  
20 widespread use of cloned cell lines for production of lymphokines and their receptors. The isolation of lymphokine and lymphokine receptor mRNA has become a common technique. The mouse receptor protein, 4-1BB, was isolated and identified based on specific expression of the T cell genes using a technique identified by the present inventor in a prior publication (Proc. Natl. Acad. Sci.  
25 USA, 84, 2896-2900, May 1987, Immunology). The protocol reported in this publication can be used by scientists to detect virtually all of the lymphokines. The method is designed to detect virtually all mRNA expressed differentially. Importantly, the mRNA sequences of immune cells are expressed differentially as they relate to T cells generally, and to the killer T cells specifically. Even  
30 though the level of expression is low and the quantity of the lymphokine and its receptor protein is low, this expressed mRNA can be detected and isolated. The present inventor believes that the analysis described in the above-identified publication can reveal biologically important molecules such as lymphokines

and their receptors because there are many indications that biologically important or active molecules are initiated by cellular signals induced by very scarce message molecules (i.e., IF, interleukins, Map Kinase Kinase, etc.).

Most T cell factors have been classically identified by recognizing  
5 biologic activities in assays, and thereafter purifying the protein information. An alternative approach is to isolate putative T cell genes based upon specific expression, insert them into an appropriate expression vector, and then demonstrate the function of the unknown isolated protein. Using the aforesaid modified differential screening procedure, the present inventor cloned a series of  
10 T cell subset-specific cDNAs from cloned helper T (HTL) L2 cells, and cloned cytolytic T lymphocytes (CTL) L3.

T cells are critically important in long-term acquired immunity, providing protection against viral, bacterial and parasitic infection. T cells are activated when they encounter a peptide from the invading pathogen in context  
15 with self-MHC (Major Histocompatibility Complex) via the T cell's own T cell receptor (TCR) complex and other co-stimulatory molecule(s), such as CD-28, or CD-3. Without the engagement of the other co-stimulatory molecule(s), the T cell is rendered anergic (Vassali et al., PNAS, 1979). To date, the best-characterized co-stimulatory molecule has been CD-28. More recently, however,  
20 other cell-surface molecules have been suggested to play a co-stimulatory role, such as the molecule 4-1BB. The 4-1BB protein is a -55 kDa homodimeric molecule expressed on activated T cells in the mouse, and is a member of the Nerve Growth Factor receptor (NGFR)/Tumor Necrosis Factor receptor (TNFR) gene superfamily (Haskins et al., J. Exp. Med., 1983). This family is  
25 characterized by the presence of cysteine-rich motifs in the extracellular domains. Other members of this family include NGFR, B cell activation molecule CD40, the T cell activation molecule OX-40 in rat and CD27, the two receptors for TNF called TNFR-1 and TNFR-11, the apoptotic-inducing protein Fas, and CD-30 which plays a role in the regulation of cellular growth and  
30 transformation.

Some of these members have been shown to play important roles in HIV-1 infection, including CD4+ T cell proliferation, apoptosis and virus replication. The presence of high serum levels of CD30 has become a predictor

of progression to AIDS, although no circulating CD30 cells have been found in HIV-1 seropositive individuals. The expression of HIV-1 was induced by triggering CD30 of HIV-1 seropositive individuals. The expression of HIV-1 was induced by triggering CD30 of HIV-1 infected CD4+ T cells through a NF- $\kappa$ B-dependent pathway. In HIV-1 individuals, high levels of Fas expression were observed in peripheral blood lymphocytes. Fas production was found to trigger or induce marked apoptosis of T lymphocytes, which might contribute to the CD4+ T cell depletion by HIV-1 infection. The ability of CD4+ T cells to express the CD40 ligand after *in vitro* stimulation is not impaired because of HIV-1 infection, but CD40/CD40 ligand interaction regulates HIV-1 replication of B cells *in vitro*. CD27 signaling enhanced proliferative response of T cells to the normal extent in HIV-1-infected individuals.

In the experiments that led to the development of this invention, a series of T cell subset-specific cDNAs were isolated from cloned murine T cells by employing a modified differential screening procedure. The nucleotide sequence and expression properties of some of the cDNA species have been reported. One of the genes not previously characterized, which encodes mouse receptor protein 4-1BB, was studied further. These studies have led to the isolation of the human homologue to 4-1BB, H4-1BB, as well as to a series of monoclonal antibodies capable of binding the H4-1BB receptor protein and acting thereby as agonists or antagonists of H4-1BB.

T cells interact with components of the extracellular matrix (ECM) through members of the integrin family after transendothelial migration during homing to sites of inflammation. Integrin molecules are very late antigens (VLA's) in a family of cell-surface receptors that mediate the adhesion of cells to ECM proteins as well as other cells. The heterodimeric integrins comprised of various alpha and beta subunits, act as a transducing mechanism of extracellular signals. Regulation of integrin function is utilized by T cells and other leukocytes for rapid adhesion following activation of the cells.

The major factors known so far to affect the differentiation of the T cells are the lymphokines (also referred to as cytokines), such as IL-2 and IL-4. *In vitro* and *in vivo* studies with transgenic mice have demonstrated that IL-2 induces the development of the Th1 subset of T cells by priming them for

efficient IF production and preventing development of IL-4-producing cells. Previously however, it was unknown how their interactions worked to direct the amplification of the immune response and development of the Th1 or Th2 subset of T cells.

- 5           Specific immune responses are governed by the recognition of antibodies to foreign antigens. Antibodies form a family of structurally related glycoproteins and confer, generally to the organism producing them, the protective effect of cell-mediated immunity. Antibodies are produced by B lymphocytes and are bound to the cell membrane, functioning as B cell receptors  
10 for antigens. Antibodies are also secreted by B cell progeny that differentiate in response to stimulation by antigens. A specific antigen will trigger the complementary B lymphocyte(s) to proliferate and differentiate into effector cells, which then eliminate the antigen. Each lymphocyte produces an antibody of a particular specificity, and thus immune responses are very specific for  
15 distinct antigens. The portion of the antigen recognized by T and B lymphocytes are called epitopes or determinants.

- The development of techniques to produce virtually unlimited amounts of a single (monoclonal) antibody for a specific antigenic epitope has had an enormous impact on clinical immunology. To produce a monoclonal antibody  
20 (mAb) of known specificity, a mouse can be injected with a particular antigen, such as a receptor protein and the spleen B lymphocytes (that produce the antibody against the protein) can be fused via somatic cell hybridization to a myeloma (lymphocyte tumor) to produce an immortal cell line to create a hybridoma. This is done because normal B lymphocytes cannot grow  
25 indefinitely, yet when fused with the myeloma, the resulting hybridoma produces a virtually endless supply of a specific monoclonal antibody. Selection techniques have been developed to ensure that only the fused cells continue to grow. Each hybridoma cell is specific for only one antigenic determinant. If several different antibody-producing hybridomas are produced, each hybridoma  
30 clone of an individual B lymphocyte will secrete an antibody for only one surface antigenic determinant. To determine which mAbs specifically bind to the protein receptor, or which has a desired activity (e.g., the mAb acts as an agonist, antagonist, or has the most specific binding to a critical epitope), the

hybridomas can be screened with an ELISA (enzyme-linked immunosorbent assay).

Monoclonal antibodies have numerous applications: 1) The hybridoma can produce large quantities of specific antibodies that are normally either  
5 unavailable in small quantities or not available at all; 2) the hybridoma can be directed to produce antibodies against a single antigen determinant which, for complex antigens, may be normally very difficult; 3) pure antibodies can be obtained against antigens that cannot be purified; 4) immuno-diagnosis of infectious and systemic diseases by detecting specific antigens circulating in  
10 tissues or using monoclonal antibodies in immunoassays; 5) characterization of protein receptors and the role they play in the transition from a naive to a memory T cell; and 6) blocking or enhancing immune response or activation.

Thus, what is needed is a ligand, e.g., a monoclonal antibody, that specifically binds to a protein that is expressed on activated T cells. Moreover,  
15 what is needed is such a ligand that can be used to treat or inhibit cancer or HIV-1.

### Summary of the Invention

The present invention includes the human receptor protein H4-1BB and  
20 the cDNA gene encoding for human receptor protein H4-1BB. The nucleotide sequence of the isolated cDNA is disclosed herein along with the deduced amino acid sequence. The cDNA gene identified as pH4-1BB was deposited at the Agricultural Research Service Culture Collection and assigned the accession number: NRLL B21131.

25 The cDNA, including its fragments and derivatives, can be used as a probe to isolate DNA sequences encoding for proteins similar to the receptor protein. The cDNA of the human receptor, H4-1BB, was isolated by using probes derived from cDNA 4-1BB. The cDNA gene identified as p4-1BB was deposited at the American Type Culture Collection at 12301 Parklawn Drive,  
30 Rockville, Maryland 20852 under ATCC NO.: 67825.

Thus, the invention provides H4-1BB protein, its ligands (H4-1BB/L, found on activated macrophage and mature B cells), antibodies thereto and other



co-stimulatory molecules that can be used therapeutically in the treatment of cancer and HIV-1.

The human receptor protein H4-1BB can be produced by: 1) inserting the cDNA of H4-1BB into an appropriate expression vector, 2) transfecting the expression vector into an appropriate transfection host, c) growing the transfected hosts in appropriate culture media and d) purifying the receptor protein from the culture media. The protein and fragments and derivatives can be used: 1) as a probe to isolate ligands to human receptor protein H4-1BB, 2) to stimulate proliferation of B cells expressing H4-1BB ligands, or 3) to block H4-1BB ligand binding.

B cell proliferation can be induced by treating B cells that have expressed a ligand to receptor protein H4-1BB with cells that have expressed receptor protein H4-1BB. The use of H4-1BB protein, H4-1BB ligand protein, or fragments of the proteins, to block H4-1BB ligand binding has practical application in the suppression of the immune system during organ transplantation.

Monoclonal antibodies generated against H4-1BB can be used to enhance or suppress T cell proliferation and activation by treating T cells that have expressed receptor protein H4-1BB with anti-H4-1BB monoclonal antibodies.

To enhance immune reaction, antibodies which act as agonists can be generated, to suppress T cell proliferation and/or activation, antibodies which act as antagonists can be generated. To this end, four monoclonal antibodies have been developed for use. The monoclonal antibodies BBK-1 and BBK-4 are agonists to receptor protein H4-1BB, while monoclonal antibodies BBK-2 and BBK-3 are antagonists to receptor protein H4-1BB, and can be used to either upregulate the immune system or suppress its activity. Some tumors are potentially immunogenic but do not stimulate an effective anti-immune response *in vivo*. Tumors may be capable of delivering antigen-specific signals to T cells, but may not deliver the co-stimulatory signals necessary for full activation of T cells. A monoclonal antibody generated against H4-1BB (e.g., an agonist) is capable of eradicating tumors with low immunogenicity by providing for the full activation, enhancement, and/or proliferation of T cells. Moreover, an anti-H4-1BB mAb agonist has great utility in assessing the role of the 4-1BB receptor protein in the

transition from naive to memory T cells. Cross-linking of the 4-1BB with an anti-H4-1BB mAb agonist, such as BBK-1 or BBK-4 will produce the effects similar to the binding of the 4-1BB ligand to 4-1BB.

A mAb against H4-1BB can also be used to interfere with H4-1BB and H4-1BB ligand binding. By interfering with ligand binding, as with the use of an anti-H4-1BB mAb antagonist BBK-2, and BBK-3, the immune responses will be suppressed. In this context, diseases that would benefit from the therapeutic use of such a mAb include rheumatoid arthritis, systemic lupus erythematosus, and diabetes. Alternatively, this type of molecule is useful in organ transplantation to suppress immune system mediated rejection of transplanted tissue.

A fusion protein can detect cell membrane ligands to human receptor protein, H4-1BB. A fusion protein of the present invention comprises the extracellular portion of the receptor protein H4-1BB and a detection protein (alkaline phosphatase) or Fc portion of an IgG, bound to the portion of the receptor protein H4-1BB. In addition, this disclosure demonstrates that co-engagement of CD28 with 4-1BB promoted type 1 effector T cell development. The 4-1BB signal regulated CD28 mediated cytokine production profiles in two ways, enhancing type 1 and, at the same time, suppressing type 2 cytokine (lymphokine) production. The 4-1BB-mediated co-stimulation also induced  $\gamma$ -interferon (IF) production in Th1 cells. The expression of 4-1BB was subset-specific, being detected predominantly on IF-producing, but not on IL-4-producing cells. Moreover, it was determined that 4-1BB and CD30 expression were mutually exclusive, representing type 1 and type 2 subsets, respectively. The co-engagement of 4-1BB with CD28 enhanced long-term cell survival for cells susceptible to apoptosis induced by repeated TCR activation. Therefore, it was demonstrated that 4-1BB and CD30 interplay to regulate the balance between type 1 and type 2 T cell subsets, and the polarization of the immune response. Therapeutically, they can be used to achieve opposite effects.

This invention presents data, which demonstrates that there is a functional correlation between 4-1BB and CD28 in T cell adhesive responses. The inventors disclose herein that 4-1BB can induce cell adhesion. Enhanced cell adhesion through the presence of 4-1BB signal or agonist results in a

maximal T cell activation in response to repeated exposure to suboptimal concentrations of anti-CD3 and anti-CD28. Thus, 4-1BB effects were attributed by its ability in reducing the threshold of anti-CD3 concentration needed to repeatedly activate primary T cells. Therefore, the degree of cell adhesion in response to anti-4-1BB correlated with the 4-1BB expression levels, and correspondingly effects the therapeutic use of these molecules.

The level of 4-1BB expression and the percentage of 4-1BB-expressing T cells was higher in HIV-1 positive individuals than in the HIV-1 controls ( $P < 0.01$ ). 4-1BB signal cooperated with CD28 to induce HIV-1, and CD4+ T cell proliferation. In addition, cross-linking 4-1BB with agonistic monoclonal antibodies enhanced HIV-1 replication both in primary stimulation and secondary re-stimulation of CD4+ T cells from HIV-1 individuals. Thus, 4-1BB is involved in the activation of HIV-1 replication from latently infected CD4+ T cells, and the 4-1BB co-stimulatory pathway can be the target of therapeutic intervention. If the pathway of HIV-1 infection is disturbed at the early stages of the infection, through the use of H4-1BB antibodies a lower virus load will result. Moreover, the increased 4-1BB expression on CD8+ T cells is noteworthy because it is correlated with the degree of immunodeficiency in HIV-1 infection. cross-linking 4-1BB on CD8+ T cells will induce enhanced cytotoxic activity against HIV-1 infected CD4+ T cells.

An object of the present invention is to teach a fusion protein comprising the extracellular portion of H4-1BB and a detection protein.

Another object of the present invention is to teach the method of constructing a monoclonal antibody against H4-1BB.

### Brief Descriptions of the Figures

Fig. 1 illustrates the molecules involved in the cognitive phase of T cell activation.

Fig. 2 illustrates the molecules involved in the clonal expansion of T cells occurring in the late portion of T cell activation.

Fig. 3 illustrates the resting portion of a normal T cell activation pathway.

Fig. 4 illustrates a "primed" T cell during the normal T cell activation pathway.

Fig. 5 illustrates an activated T cell which was activated through the presence of a non-self, antigen-presenting cell, representing the conclusion of the normal T cell activation pathway.

Fig. 6 illustrates an anergized T cell CTLA4-1g alone, 4-1BB/AP and CTLA4-1g together and 4-1BB/AP alone respectively being used to block steps in the T cell activation pathway.

Fig. 7 illustrates 4-1BB/AP and CTLA4-1g together in an effort to block the T cell activation pathway.

Fig. 8 illustrates 4-1BB/AP alone where it is used to block steps in the T cell activation pathway.

### Detailed Description of the Invention

The following description teaches the isolation of 4-1BB and its human homologue, H4-1BB, the preparation of the peripheral blood cells, including the antibodies and reagents used, the production of fusion protein, immunization, and the production of monoclonal antibodies acting as either agonists or antagonists of H4-1BB. Also disclosed is the therapeutic use of: 4-1BB, antibodies to it, its ligands, and immunoprecipitation studies.

#### Isolation and Characterization of Mouse Receptor 4-1BB

SEQ ID NO:1 shows the nucleotide sequence and SEQ ID NO:2 the deduced amino acid sequence of the mouse receptor 4-1BB. The predicted amino acid sequence is shown below the nucleotide sequence. The transcript of 4-1BB was inducible by concanavalin A in mouse splenocytes, T cell clones, and hybridomas. The expression of 4-1BB transcripts was inhibited by cyclosporin A. The 4-1BB mRNA was inducible by antigen receptor stimulation but was not inducible by IL-2 stimulation in the cloned T cells. The 4-1BB cDNA encodes a peptide of 256 amino acids containing a putative leader sequence, a potential membrane anchor segment, and other features of known receptor proteins. Therefore, the expression pattern of 4-1BB resembles those of lymphokine mRNAs while the sequence appeared consistent with those of receptor proteins.

The major species of 4-1BB on the cell-surface appears to be a 55-kDa dimer. 4-1BB also appears to exist as a 30-kDa monomer and possibly as a 110-kDa tetramer. Since these 4-1BB species were immunoprecipitated from a homogeneous population of cells (T cell clone f1), all forms potentially coexist on each cell. Peptide digests from the 4-1BB monomer and dimer are needed to determine whether 4-1BB exists as a homodimer on the cell surface. A variety of cell-surface receptors such as the insulin receptor (Ebina et al., 1985), the B cell-surface immunoglobulin receptor (Vassali et al.), the T cell Ag receptor (Haskins et al., 1983), the CD-28 co-stimulatory receptor (Lesslaver et al., 1986), and the CD27 T cell antigen (Van Lier et al., 1987) are composed of disulfide-bonded subunits. Receptor dimerization may be required for ligand binding and subsequent cell signal transduction.

4-1BB is not expressed on resting T cells but is inducible by activators which deliver a complete growth stimulus to the T cell. The combination of PMA and ionomycin is capable of mimicking those signals required for T cell proliferation. Although PMA or ionomycin alone induced 4-1BB mRNA, the combination of PMA and ionomycin resulted in optimal 4-1BB expression. Furthermore, the expression of 4-1BB was not transient. When purified splenic T cells were stimulated with immobilized anti-CD3, 4-1BB mRNA was expressed and this expression was maintained for up to 96 hrs post-stimulation. Cell cycle analysis will be required to confirm that 4-1BB is expressed throughout cell cycle progression.

4-1BB is structurally related to members of the nerve growth factor receptor superfamily. Although these receptors possess structurally similar ligand-binding properties (cysteine-rich regions), the cytoplasmic domains of these proteins are non-conserved which could allow for diversity in transmembrane signaling. Some members of this family are involved in the T or B cell activation process. There are *in vitro* functional data on the OX-40, CD40 and CD27 antigens. Antibodies against the OX-40 augment the T cell response in a mixed lymphocyte reaction and antibodies against CD40 enhance B cell proliferation in the presence of a co-activator, such as PMA or CD20 antibodies, and synergizes with IL-4 *in vitro* to induce B cell differentiation and to generate long-term normal B cell lines. One monoclonal antibody, anti-1A4, which

recognizes an epitope on the CD27 molecule inhibited calcium mobilization, IL-2 secretion, helper T cell function, and T cell proliferation. On the other hand, CLB-CD27/1, another anti-CD27 mAb enhanced proliferation of human T cells stimulated with PHA or anti-CD3 mAb. These results indicate that the CD27 molecule plays an important role in T cell activation. Except for TNFR's, NCFR and CD40, the ligands or cell-surface molecules to which the members of the superfamily bind are not yet identified. Identification and characterization of the ligands to which the receptors bind will be helpful in better defining the physiologic role of 4-1BB.

To ascertain whether cell-surface 4-1BB could contribute to T cell activation, the anti-4-1BB 53A2 was used as an antagonist to 4-1BB. The resulting data suggest that 4-1BB does in fact have the potential to function as an accessory signaling molecule during T cell activation and proliferation. The addition of soluble 53A2 to purified splenic T cells stimulated with immobilized anti-CD3 resulted in an amplification of  $^3\text{H}$  thymidine incorporation compared to T cells stimulated with anti-CD3 alone. This pattern of enhancement ranged from 2- to 10-fold in three independent experiments.

In the original two signal model of Bretcher and Cohn, they proposed that signal 1, the occupancy of the T cell antigen receptor (TCR), resulted in inactivation of the T cell in the absence of signal 2, which is provided by accessory cells. This has since been confirmed by a variety of studies (Moeller et al., 1989). The identification of the accessory cell CD28 as a potent co-stimulatory receptor on T cells was a significant contribution in beginning to characterize the accessory signal(s) required for optimal T cell proliferation. It is possible that other cell-surface molecules may contribute to these co-stimulatory activation requirements.

The biochemical signals delivered through 4-1BB indicate that there is a putative p56<sup>lck</sup> tyrosine kinase-binding domain in its cytoplasmic tail. It was later determined that p56<sup>lck</sup> tyrosinase kinase binds to 4-1BB. It will also be worthwhile to determine if 4-1BB-mediated signaling can regulate genes such as IL-2 and IL-2 receptor, whose expression is required for T cell activation and subsequent proliferation.

The precise functions of member of the Nerve Growth Factor Receptor (NGFR) superfamily appear to be diverse. An emerging theme of inquiry concerns the ability of these molecules to maintain the responsiveness or viability of the particular cell type in which they are expressed. For instance, NGF is absolutely required for viability of neurons *in vitro* and *in vivo* (Yamori et al., 1992). The cross-linking of CD40 by soluble antiCD40 monoclonal antibody blocks germinal center centrocytes from undergoing apoptosis *in vitro*. Signals delivered through CD40 may also aid in maintenance of responsiveness to differentiation factors. The ligation of CD40 with anti-CD40 F(ab')<sup>2</sup> fragments in the presence of IL-4 induced large increases in IgE synthesis. Also, anti-CD40 activated naive B cells treated with IL-10 and transforming growth factor- $\beta$  became committed to IgA secretion (DeFrance et al., 1992). In addition to sharing the molecular characteristics with the NGFR superfamily, it was noted that the 4-1BB contained a putative zinc finger structure similar to that of the yeast eIF-2b protein. 4-1BB also shares a conserved region with the *sina seven* in *absentia* of *Drosophila Melanogaster*, which is required for correct photoreceptor cell development (Carthew and Rubin, 1990). That particular region is also similar to the protein product of the DGI7 gene of *Dictyostelium*, whose expression is specifically induced during aggregation by cAMP.

This region forms the pattern of C-X<sub>2</sub>-C-X<sub>9</sub>-C-X<sub>3</sub>-H-X<sub>3</sub>-C-X-C; and the cysteines and histidine are conserved in a similar space in 4-1BB, *sina*, and DGI7 proteins. Ten of 24 amino acids between the 4-1BB and *sina* proteins are identical; 3 of 24 are conservative substitutes. The conserved pattern suggests that these amino acids are functionally important. The *sina* protein is localized in the nucleus, suggesting that it has a regulatory function in cells. The fact that the amino acid sequence of 4-1BB contains features like a zinc finger motif, a nuclear protein, and a receptor domain suggests that 4-1BB may play diverse roles during cellular proliferation and differentiation.

In addition, 4-1BB may represent another cell-surface molecule involved in T cell-APC interactions. The 4-1BB-AP fusion protein specifically bound to mature B cell lines, anti-IF-activated primary B cells, and mature macrophage-cell lines. 4-1BB-AP bound at low or insignificant levels to immature B and macrophage cell lines, T cell clones, T cell lines, primary culture T cells, and

various non-lymphoid-cell lines. Since 4-1BB-AP binds to mature B cells and macrophages, it is possible that signals delivered upon 4-1BB binding may modulate APC functions in some way. This possibility remains to be explored.

Chalupny et al. proposed that 4-1BB Rg, a fusion protein consisting of  
5 the extracellular domain of 4-1BB and the Fc region of human IgG, bound to the extracellular matrix (ECM). The highest level of 4-1BB Rg binding was to human vitronectin. The inventors performed an ELISA to test this possibility using 4-1BB-AP and human vitronectin (Yelios Pharmaceuticals/GIBCO-BRL, Grand Island, NY) immobilized at 0.007 mg, with 10 mg per well on microtiter  
10 plates. No binding of 4-1BB-AP based on AP activity was observed. To rule out the possibility that 4-1BB-AP was binding to proteins extrinsically attached to the cell surface (possible extracellular matrix components), B cell lymphomas were washed in acid conditions prior to the binding assay. 4-1BB-AP still bound specifically to mature B cell lymphomas. It is still to be determined whether a  
15 4-1BB-ligand specifically expressed on B cells and macrophages exists, and whether 4-1BB-AP may bind to the ECM under particular binding conditions. It is possible that the ECM could facilitate the binding of 4-1BB to a specific cell-surface ligand.

B cells and helper T cells interact with each other through receptors on B  
20 cells binding to their specific counter-receptors on T cells. This interaction results in a cascade of biochemical signaling relays between the two cell types. As this interaction proceeds, these cells become committed to enter the S-phase of the cell cycle. Initial interactions between TCR and CD4+ on T cells, and processed antigen-MHC II on B cells, do not result in B cells capable of entering  
25 the cell cycle (Noelle and Snow et al., 1990). However, studies from *in vitro* systems suggest that once T cells are stimulated, they express newly synthesized or modified cell-surface molecules capable of inducing B cells to enter the cell cycle. This T cell function is not antigen-specific or MHC-restricted. In addition, soluble factors are not required for the Th induction of B cell  
30 activation. Once B cells enter the cell cycle, IL-4 induces B cells to progress from G<sub>1</sub> to S phase. The ability of activated T cells or T cell membranes to promote the entry of B cells into the cell cycle can be blocked by either



cycloheximide or cyclosporin A treatment. These newly expressed membrane proteins appear to be "lymphokine-like" in their induction characteristics.

4-1BB has expression properties which meet the requirements of a B cell co-stimulator. 4-1BB is inducible by anti-CD3 or TCR-mediated T cell stimulation, and its expression is sensitive to cyclosporin A as well as cycloheximide treatment. Interestingly, paraformaldehyde-fixed SF21-4-1BB cells, synergized anti- $\mu$  and induced B cell proliferation. The co-stimulation of splenic B cells by SF21-4-1BB occurred at optimal (10  $\mu$ g/ml) and suboptimal (1.0-0.1 mg/ml) doses of anti- $\mu$ . The addition of SF21-4-1BB cells to resting B cells, did not result in significant B cell proliferation. SF21-4-1BB cells did not synergize with 12-O-tetradecanoylphorbol-13-acetate (TPA) or ionomycin, or suboptimal concentrations of lipopolysaccharide (LPS) in inducing B cell proliferation.

Although the baculovirus system has been used to express large amounts of recombinant soluble proteins, this system may be utilized for the expression of recombinant cell-surface proteins. The baculovirus infection provides a convenient means to express uniformly high levels of recombinant protein on a per cell basis. It is noteworthy, that the addition of SF21 cells alone did not result in significant levels of co-stimulation. This can be a potential problem when using COS or L cell lines which can exhibit strong co-stimulation activity on their own.

Another member of the NGFR superfamily, CD40, is expressed on B cells and interacts with gp39, a molecule expressed on activated T cells. The cDNAs encoding the murine and human gp39 proteins have been cloned; this cell-surface molecule is a type II membrane protein with homology to tumor necrosis factor. Noelle et al. found that a CD40-immunoglobulin fusion protein is capable of blocking T cell-induced B cell proliferation and differentiation in a dose-dependent manner. Armitage et al. have isolated a cDNA for murine gp39 and showed that gp39 could induce B cell proliferation in the absence of co-stimuli, and result in IgE production in the presence of IL-4-. Hollenbaugh et al. have shown that COS cells transfected with human gp39 can synergize with either TPA or anti-CD20 in inducing human B cell proliferation and is able to stimulate B cells without a co-stimulator only at low levels. These data indicate

that CD40 may be one of the B cell-surface molecules that transmit signals during physical contact with T cells.

Cell-surface receptors communicate with their external milieu by interacting either with soluble factors or other cell-surface molecules expressed on neighboring cells. The role of biochemical signals delivered by cell-cell contact versus those delivered by soluble factors interacting with cell-surface receptors is not clear. The NGFR superfamily is unusual for the TNFR I and II as well as the NGFR bind to more than one ligand. The TNFRs I and II both bind to TNF- $\alpha$  and TNF-R. The NGFR binds to NGF, brain-derived neurotrophic factor, and neurotrophin-3.

In addition, one ligand may function as both a cell surface and soluble ligand. Recent evidence on the CD40 ligand, gp39, suggests that this ligand can exist as a membrane bound as well as a soluble ligand. It may be possible that 4-1BB is secreted and interacts with B cells in a soluble form as well as a membrane bound form. A member of the NGFR receptor family, CD27, which is expressed on T cells, is secreted in addition to being expressed on the cell surface (Hintzen et al., 1991). It is also possible that more than one ligand, if soluble and on the cell surface, may bind to 4-1BB.

#### Isolation of the Human Homologue, H4-1BB

In order to isolate the human homologue (H4-1BB) of mouse 4-1BB, two sets of polymerase chain reaction (PCR) primers were designed. To design the PCR primers, the amino acid sequence among the members of nerve growth factor receptor (NGFR) superfamily were compared because 4-1BB is a member of the superfamily (Mallett and Barclay, 1991). The amino acid sequences employed were mouse 4-1BB, human NGFR, human tumor necrosis factor receptors, human CD40, and human CD27. The areas of sequence conservation among the NGFR superfamily were chosen.

#### Materials and Methods

Peripheral blood lymphocytes from normal healthy individuals were isolated and activated with PMA (10 ng/ml) and ionomycin (1 mM). Messenger RNA from the lymphocytes was isolated. Using reverse transcriptase the human lymphocyte mRNA was converted to single-stranded cDNA. The cDNA was then amplified with Taq polymerase with combination of the primers (SEQ ID

NO:3-6). A combination of primers was used and produced a specific band of about 240 bp. The 240 bp is an expected size of human 4-1BB if the human homologue protein is similar to mouse 4-1BB in size. The PCR product (240 bp) was cloned in PGEM3 vector and sequenced. One open reading frame of the PCR product was ~65% identical to mouse 4-1BB. Therefore, it was concluded that the 240 bp PCR product is the human homologue of mouse 4-1BB. The 240 bp PCR product was used to screen  $\lambda$ gt11 cDNA library of activated human T lymphocytes. An 0.85 kb cDNA was isolated. The sequence of the cDNA is shown in SEQ ID NO:7 and the predicted amino acid sequence is shown in SEQ ID NO:8.

An expression plasmid to produce H4-1BB-AP protein was constructed. The 5' portion of the H4-1BB cDNA including sequences encoding the signal sequence and the entire extracellular domain, was amplified by PCR. For correctly oriented cloning, a Hind III site on the 5' end of the forward primer and a Bgl II site on the 5' end of the reverse primer were created.

The Hind III - Bgl II H4-1BB fragment was inserted into the mammalian expression vector APtaq-1, upstream of the coding sequence for human placental alkaline phosphatase (AP).

H4-1BB-AP will be used to identify cells and tissues that express ligand for human 4-1BB (i.e., H4-1BBL). The studies with mouse 4-1BB indicated that the ligand for 4-1BB is on the cell surface. B cells and macrophages were major cells that express 4-1BBL. It is expected that H4-1BBL be expressed on human B cells and macrophages.

### Results

A mammalian expression cDNA library was generated from human cell lines that express H4-1BBL. The library was screened by Iodine-labeled H4-1BB-AP. cDNA for H4-1BBL was then isolated and characterized. Soluble recombinant H4-1BBL was then produced. The generated antibodies were then used to suppress or enhance immune responses as described below. Monoclonal antibodies to H4-1BBL were produced and are discussed below.

According to studies completed by the inventor, 4-1BB acts as a co-stimulatory signal. It is expected then that H4-1BB acts as a co-stimulatory signal for T cell activation. Mouse 4-1BB helped B cells with proliferation and

differentiation. H4-1BB has been found to do the same. H4-1BB-AP, H4-1BBL and various monoclonal antibodies disclosed below can be used to suppress or enhance human immune responses.

5

### Example 1

#### Production of a Monoclonal Antibody to H4-1BB

The 4-1BB molecule is expressed on activated but not resting murine T cells, while cross-linking of 1 AH2 mAb directed against murine 4-1BB has been shown to enhance anti-CD3-induced T cell proliferation. Normal splenic cell antigen presentation and T cell activation can be blocked by inhibiting the binding of 4-1BB on T cells to its ligand on B cells and macrophages with 4-1BB/AP. This protein 4-1BB/AP is a fusion protein containing the extracellular domains of 4-1BB and alkaline phosphatase. Human 4-1BB mAbs were characterized, and then isolated.

#### 15 Materials and Methods

##### Production of Recombinant Human 4-1BB

The PGEX-3 expression vector (Pharmacia) containing the full-length cDNA sequence encoding 4-1BB and the GST-binding domain of glutathione S-transferase (GST) was constructed and the fusion protein expressed in bacteria. Fusing H4-1BB with GST, allowed for efficient purification of a rH4-1BB (recombinant H4-1BB) when isolated by GST-sepharose and Sepharose-4B column chromatographies. The GST-binding domain is cleaved prior to immunization. The rH4-1BB fraction is purified by GST-sepharose column and Sepharose 4B column chromatographies and subsequently cleaved with factor Xa to release the H4-1BB portion prior to immunization.

BALB/c animals are immunized with a rH4-1BB protein and the splenocytes fused with the Sp2/0 fusion partner. BALB/c mice should be immunized with 50 µg of sH4-1BB emulsified in Titermax (Cytkx) or "Complete Freund's" adjuvant. Three intraperitoneal (ip) injections should be administered 2 weeks apart. Three days following the last injection, the mouse host is sacrificed and their spleens are removed. Spleen cells were fused with Sp2/0 myeloma cells. Spleen cells and Sp2/0 are mixed at 5:1 ratio and fused using 50% PEG. Cells are then washed, re-suspended in OptiMEM (Gibco),

10% FCS, 5 mM hypoxanthine, 1% aminopterin, and 0.8 mM thymidine (HAT) and cultured in 96 well U-bottom plates (Corning). Resulting cell supernatants were screened by ELISA for rh4-1BB reactivity. Clones were isolated and subcloned.

5 Activated T Cells Co-express 4-1BB+ and CD45RA and CD45R0

It has been shown previously that murine 4-1BB is associated with p56<sup>lck</sup> by a series of immune-precipitation studies and peptide mapping study. The data gathered indicates that 4-1BB forms a multi-peptide complex with CD45 and p56<sup>lck</sup> on activated T cells. To better assess the association of 4-1BB and CD45  
10 in humans, PBMCs stimulated with PHA for 48 hrs were analyzed for expression of CD45RA and CD45R0 isoforms by multi-color FCM. Sixteen to 19% of cells expressed 4-1BB, and nearly all (except 1%) expressed CD45RA and nearly all express CD45R0 after correcting for non-specific binding of the antibodies.

15 Uses for an Anti-H4-1BB mAb

Although some mAbs specifically recognize 4-1BB expressed on SF-21 cells, they do not recognize 4-1BB expressed on activated T cells. This is likely due to the mAb having specificity for a cryptic or unique binding site(s) that is not exposed or present on T cells but is accessible or present on SF-21 cells due  
20 to slight differences in glycosylation and processing between human T cells and insect cells (SF-21).

In mice, neither 4-1BB mRNA nor surface expression is detectable on resting splenocytes or unstimulated cloned T cells. But upon activation of T cells by anti-CD3, anti-TNF- $\alpha$  or anti-TNF- $\beta$ , 4-1BB mRNA is detected with  
25 3 hrs of stimulation and is first detectable on the cell surface 2-3 days following stimulation. Maximum surface expression is reached about 6 days following stimulation. As in the mouse, 4-1BB is not detected on the surface of freshly isolated peripheral blood T cells in man, but is readily detected following PHA stimulation. Unlike in the mouse, 4-1BB is expressed much more rapidly in  
30 humans, reaching a peak expression level within 12-48 hrs. 4-1BB expression begins to decrease within 72 hrs., post-stimulation, as do the number of cells expressing 4-1BB on their cell surface. In both mouse and humans, 4-1BB is expressed on CD4+ and CD8+ T cell subsets.

4-1BB is associated with p56<sup>lck</sup>. A 56 kDa protein is detected when [<sup>32</sup>]PO<sub>4</sub> was transferred from gamma-labeled ATP onto the p56 protein in concanavalin A (ConA) activated thymocytes that were subjected to immunoprecipitated with anti-4-1BB mAb, 1AH2. By peptide mapping, this 56 kDa phosphoprotein was identified as p56<sup>lck</sup>. The p56<sup>lck</sup> and 4-1BB molecules were also found to be co-immunoprecipitated in insect cell studies (SF-21) and in HeLa cells transfected with 4-1BB and p56<sup>lck</sup>. Furthermore, cross-linking of 4-1BB activated p56<sup>lck</sup>. Cysteine residues critical for p56<sup>lck</sup>-CD4/CD8 complex formation were also critical for p56<sup>lck</sup>-4-1BB interaction. In preliminary results, it was noted that anti-4-1BB also immunoprecipitated a protein of ~200 kDa from biotin-surface labeled ConA activated thymocytes. When anti-CD45 mAb was used for immunoprecipitation, a ~30 kDa protein, of similar size to murine 4-1BB, was detected. Others have previously shown that CD45 mediates the dephosphorylation of certain proteins such as p56<sup>lck</sup> (Biffen et al., 1994). Perhaps 4-1BB plays a role in bringing CD45 and p56<sup>lck</sup> together and facilitates the dephosphorylation of p56<sup>lck</sup> by the CD45 phosphatase.

To further assess the association of 4-1BB and CD45, PHA-stimulated PBMCs were analyzed by multicolor FCM. Approximately 16-19% of PBMCs cultured in PHA for 48 hrs express 4-1BB. If all 4-1BB+ cells express CD45RA and express CD45R0, the ~17.5% of 4-1BB+ cells must co-express both CD45RA and CD45R0 on their cell surface. Of the PHA-stimulated CD45RA<sup>hi</sup>R0<sup>hi</sup> cells, approximately 50% express 4-1BB. This data further supports the hypothesis that CD45 and 4-1BB share an association. More importantly, it suggests that 4-1BB may play a role in T cell transition from a naive phenotype (CD45RA<sup>hi</sup>R0<sup>lo</sup>) to a memory phenotype (CD45RA<sup>lo</sup>R0<sup>hi</sup>). Picker et al. previously demonstrated through multi-color FCM, that naive T cells undergo a "stepwise, unidirectional progression" from a naive (CD45RA<sup>lo</sup>R0<sup>hi</sup>) to a memory (CD45RA<sup>lo</sup>R0<sup>hi</sup>) phenotype through a distinct CD45RA<sup>hi</sup>R0<sup>hi</sup> intermediate cell type. In peripheral blood, few cells that express this intermediate phenotype are detectable. However, in secondary lymphoid tissue, such as tonsil, 2-10% of T cells were found to be CD45RA<sup>hi</sup>R0<sup>hi</sup>. Much is known about the naive and memory T cells, but little is known about the CD45RA<sup>hi</sup>R0<sup>hi</sup> in transitional cells. Nor is it known what events occur during

this transition phase that result in memory T cell development. Therefore, it will be necessary to assess the role of 4-1BB in the transition from a naive to that of a memory T cell and the apparent association of 4-1BB and CD45. In this regard, anti-H4-1BB mAb's are invaluable.

## 5 Results

The anti-H4-1BB mAb can be used to enhance T cell cross-linking and therefore induce T cell activation against certain types of cancer cells (e.g., melanoma). By using the mAb in experiments with various cancer cells in the presence of T cells, dosages and proper formulations of initiating T cell  
10 activation against the cancer cells can be determined. The formulations are tested in animal models with the same type of cancer and the formulations and dosages are refined for testing in humans.

Synovial T lymphocytes in patients with rheumatoid arthritis express H4-1BB, but 4-1BB is not expressed in synovial T lymphocytes of patients  
15 without this disease. This disease involves an undesired immune response against the patient's own tissue. Therefore, blocking the undesired immune response would provide relief for the arthritis sufferer. By injecting the patient with an anti-H4-1BB mAb or the fusion protein, the binding between H4-1BB and its ligand would be blocked. If the binding of an mAb and H4-1BB did not  
20 enhance activation of the immune system, then the anti-H4-1BB mAb interference with binding would have the desired effect, otherwise the fusion protein would be used for blocking binding. The fusion protein (monomeric) should not stimulate H4-1BB or its ligand but is a good ligand binding blocker because it binds to the H4-1BB ligand thereby preventing H4-1BB from binding  
25 and stimulating the ligand.

A similar method of blocking ligand binding would be useful for treating patients with systemic lupus erythematosus. For patients with Type I diabetes - T cells attack their own insulin-producing cells, pancreatic Beta cells. By  
injecting the mAb or fusion protein, this destruction can be blocked.

30 Peripheral blood T cells in patients with AIDS or certain types of viral flu are expressing H4-1BB, whereas the same cells in normal patients are not expressing H4-1BB. Therefore, 4-1BB is important in this immune response.

The enhancement or blocking of H4-1BB ligand binding or cross-linking will be important in regulating the T cells in patients with these diseases.

### Example 2

#### 5      Uses of H4-1BB Antibodies in the Suppression of Immune Responses

Figures 1 and 2 illustrate the molecules involved in T cell activation. During early T cell activation (cognitive phase), resting T cells express the TCR/CD3 complex and other "accessory" molecules. Among these constitutively expressed molecules, CD4+ (or CD8+), LFA-1, and CD28 are  
10      probably the ones to receive co-stimulatory signals. Initial interaction with the TCR/CD3 complex in combination with these 'accessory' co-stimulatory signals leads to subsequent expression of additional receptor molecules such as CD28, CTLA4, and 4-1BB. These newly expressed molecules will receive additional important co-stimulatory signals at later stages of T cell activation, such as  
15      during clonal expansion.

Figures 3-5 illustrate a normal T cell activation pathway. Figures 6-8 illustrate the blocking of immune responses with soluble chimera of 4-1BB. If 4-1BB plays a role in T cell activation, blocking of the interaction to its ligand on antigen-presenting cells will result in suppression of T cell dependent  
20      immune responses. It is well documented that blocking of the interaction of CD28 to its counter-receptor B7 suppresses in varying degrees, both *in vivo* antibody production and cell-mediated immune responses. Blocking of both interactions should result in a more effective immunosuppression; since 4-1BB is induced during T cell activation. Blocking of the interaction of 4-1BB to its  
25      ligand is of importance at later stages of the activation process where the CD28/B7 interaction is no longer of relevance.

As illustrated with mouse receptor 4-1BB, a protein which is expressed on activated T cells, and mouse ligand 4-1BBL above, addition of H4-1BB-AP will coat the H4-1BBL-expressing cells and block the normal interaction  
30      between H4-1BB and H4-1BBL. This will lead to immunosuppression. This type of immunosuppression is antigen-specific. Therefore, it avoids the generalized immunosuppression produced by anti-CD3 or cyclosporin A



treatments. H4-1BB-AP treatment can be used to treat certain autoimmune diseases and to facilitate organ transplantation.

#### Binding Activity

The portion of the receptor protein H4-1BB binds to the cell membrane ligands and binding can be detected by relative activity assays for the detection protein. The fusion protein is placed in the presence of a cell suspected to express the receptor protein H4-1BB. Then the cell is washed of any fusion protein not bound to the cell membrane ligands. Once the washed cells are placed in the presence of a substrate for the detection protein and the relative activity of the detection protein can be measured.

#### Enhancement of Immune Reaction

H4-1BB may function at the late stage of T cell activation and may be a critical molecule for completion of T cell activation. Most tumors display tumor-specific antigens. One reason, however, why immunogenic tumors can escape host immunity is that tumor-reactive T cells receive inadequate co-stimulation. The introduction of the co-stimulatory molecules, such as H4-1BB into the tumor, therefore, could enhance the antitumor immunity of cytotoxic T cells (CTL) by upregulating activity. H4-1BBL can be expressed in cell-specific fashion. For example, the H4-1BBL can be expressed in melanoma using melanocyte-specific promoter such as tyrosinase promoters. The H4-1BBL-expressing melanoma will stimulate cytotoxic T cells through H4-1BB and activate the melanoma-specific CTL. The activated melanoma-specific CTL can then destroy the target cancer (e.g., melanoma).

25

#### **Example 3**

##### Co-Stimulation of CD28 with Human 4-1BB to Promote Type 1 Cytokines

An investigation was made to determine the role of H4-1BB in CD28 stimulation. Also studied was whether CD28 requires an additional co-stimulatory signal to promote human effector T cell development. In that effort, it was known that the cytokine IL-2 cooperates with CD28 in inducing IF production in Th1 cells. *In vitro*, it was determined that IL-2 is not an absolute requirement for antigen-induced priming of a Th1 response, although its presence during priming enhances the ability of antigen-primed Th1 cells to

produce IF (gamma interferon). IF produced by Th1 cells amplifies Th1 development and inhibits proliferation at Th2 cells, whereas IL-4 produced by Th2 cells blocks development of Th1 cells. Once a T cell immune response begins to develop to Th1 or Th2, it tends to become progressively polarized in that direction. It remains unclear whether initial cytokine secretion of T cells is determined by an independent regulatory process.

T cell activation requires a signal delivered through TCR and a second signal, mostly through CD28, referred to as co-stimulation. T cells activated *in vitro* in the absence of a CD28 signal are defective in their response to forthcoming antigenic stimulus and are characterized as anergic (e.g., "anergized"). When human T cells were repeatedly reactivated *in vitro* with anti-CD28, the process reduced IL-2 production, and induced IL-4 to produce Th2-like cells. Studies of response to antigen-specific cytokine production in CD28-deficient mice demonstrated that IL-4 and, to a lesser extent, IF production are augmented by CD28-mediated signals.

CD28 signaling has recently been shown to prevent apoptosis, a phenomenon known as activation-induced cell death (AICD) both in anti-CD3-activated murine and human T cells. Repeated TCR engagement results in an accumulation of cells that express Fas or a prolonged unresponsiveness to CD28 signaling. The loss of CD28 responsiveness and acquisition of Fas might result normally after prolonged stimulation, providing a mechanism to prevent the reactivation of effector T cell populations. Therefore, co-engagement of additional co-stimulatory factors to CD28 should be required to protect the cells from AICD for long-term T cell maintenance and effector T cell differentiation.

The CD30 molecule is preferentially expressed by human CD4+ and CD8+ clones with Th2-type cytokine profile. There is an inverse correlation between CD30 expression and production of IF. The expression of 4-1BB and CD30 is equally activation-dependent and is confined predominantly to CD45R0+ cells. Exposure to 4-1BB supported IL-2 production and proliferation of murine splenic T cells. The 4-1BB molecule is expressed both on CD4+ and CD8+ T cells and is associated with p56<sup>lck</sup>.

It is disclosed herein that 4-1BB plays regulatory roles with CD28-mediated co-stimulation to specifically promote type 1 cell development, as well

as to prevent AICD. 4-1BB-mediated type 1 responses were not observed in CD30-positive cells. The current results indicate that 4-1BB and CD30, whose expressions are mutually exclusive, may counteract to regulate the balance of types 1 and type 2 development.

## 5 Materials and Methods

### Antibodies and Reagents

Monoclonal anti-4-1BB, was used to ligate 4-1BB and stain 4-1BB on T cells for flow cytometric analysis. Anti-CD28, mAb 9.3 (mouse IgG<sub>2</sub>) was a kind gift from Dr. Carl H. June, and CD28.2 (mouse IgG<sub>1</sub>) was purchased from  
10 Pharmingen (San Diego, CA). Monoclonal antibody to human CD3 (OKT3, mouse IgG<sub>1</sub>) was purchased from Ortho Diagnostic (Westwood, MA). Secondary cross-linking goat anti-mouse IgG (H+L), and anti-mouse IgG<sub>1</sub>-FITC for flow cytometry were purchased from Zymed (South San Francisco, CA) and Southern Biotechnology Associates (Birmingham, AL), respectively. Anti-IF-  
15 Phycoerythrin (PE), anti-IL-4-PE, anti-CD4-Cy-Chrome, and PE labeled mouse IgG<sub>1</sub> isotype control (MOPC-21) were purchased from PharMingen. 4-1BBFc, a fusion protein consisting of the extracellular portion of human 4-1BB coupled with Fc region of human IgG (38), was obtained from Immunex (Seattle, WA). An isotope control mouse IgG, was purchased from Sigma (St. Louis, MO). A  
20 premixed cocktail of monoclonal antibodies, and complement (LymphoKwik) to isolate T helper cells was purchased from One Lambda (Canoga Park, CA).

Human PBMC were isolated from buffy coats of healthy donors by Histopaque-1077 (Sigma) density centrifugation. CD4<sup>+</sup> T cells were purified from PBMC by depleting CD8<sup>+</sup> T cells, B, and macrophage cells by the  
25 corresponding monoclonal antibodies and complement. The purity of CD4<sup>+</sup> T cells was about 85%, as determined by flow cytometric analysis. The purified T cells or PBMC at  $1 \times 10^6$  cells/ml were activated by phytohemagglutinin (PHA, Calbiochem) at 5 µg/ml for 4 days and, after washing to remove PHA, were subsequently expanded in the presence of IL-2 at 100 µl/ml from 3 to 10  
30 days depending on the following experiments with replacement of fresh IL-2 every 3 days. The resulting cells with >95% T cells are hereinafter referred to as "PHA/IL-2 cells." For the proliferation assays, cells were cultured for 10 days in

IL-2 until all the clustered PHA blasts became completely dispersed, with reduced cell sizes before reactivation.

#### Reactivation of T cells

The PHA/IL-2 cells from purified CD4+ T cells or PBMC were  
5 reactivated with 1 µg/ml soluble anti-CD3 mAb in the presence of 5-fold excess goat anti-mouse IgG in the plates (Costar, Cambridge, MA) coated with isotype control mouse IgG<sub>1</sub> (MOPC-21), or antibodies to CD28 in the presence of additional antibodies to 4-1BB, CD30 or a combination of the two antibodies, 10 µg/ml each at 4°C overnight. After 3- to 5-day anti-CD3 activation, the cells  
10 were transferred to new plates coated with antibodies in the same way to repeat another cycle of reactivation, if necessary.

#### Cytokine Production

Following anti-CD3 reactivation of PHA/IL-2 cells in 24-well plates coated with an isotype control mouse IgG<sub>1</sub>, anti-4-1BB, anti-CD28, and a  
15 combination of anti-4-1BB and anti-CD28 at 10 µg/ml each antibody, conditioned media were collected in order to measure IL-2, IF, TNF-α, IL-4, and TGF-β. The cytokine IL-2 was assayed by an IL-2-dependent cell line, CTLL-2 and the rest of cytokines were measured by commercially available ELISA kits; IF (Endogen), TNF-α (Genzyme), IL-4 and TGF-β (R and D Systems).

#### 20 Cell Proliferation Assay

The primary T cells were repeatedly activated by three consecutive cycles as described above. After each three cycles of anti-CD3 activation, cells were collected and reactivated by antiCD3, 1 µg/ml and cross-linking anti-mouse IgG, 5 µg/ml to measure the responsiveness to the immobilized anti-CD28 or anti-  
25 4-1BB or both antibodies in anti-CD3-mediated TCR activation in 96-well microtiter plates ( $5 \times 10^4$  cells/200 µl/well). Anti-CD28 at designated concentrations with or without additional anti-4-1BB (10 µg/ml) was coated onto 96-well plates in phosphate buffered saline (PBS) at 4°C overnight. Incorporation of [<sup>3</sup>H] thymidine was measured for the last 6 hours of the 3-day  
30 culture.

### Flow Cytometry

For measuring 4-1BB expression, approximately  $2 \times 10^6$  cells reactivated in the different co-stimulatory conditions were washed, suspended in 100  $\mu$ l of 2  $\mu$ g/ml anti-4-1BB in staining solution (PBS containing 1% BSA), and  
5 incubated at 4°C for 30 minutes. The cells were subsequently washed three times, re-suspended in 200  $\mu$ l of FITC-conjugated anti-mouse IgG<sub>1</sub>, 1  $\mu$ g/ml, and incubated for 30 minutes. After being washed, the samples were fixed with 1% paraformaldehyde prior to flow cytometric analysis on the FACScan (Becton Dickinson, Mountainview, CA). Gates were set on live cells only, based on  
10 forward-versus-side scatter profiles. In every case at least 10,000 events were collected for each sample. For measuring intracellular IF and IL-4 levels, the protocols recommended by the manufacturer were followed (incorporated herein by reference).

PBMC activated with PHA were repeatedly activated for 3 days by anti-  
15 CD3 and anti-CD28 with or without anti-4-1BB, collected, washed, and stained for surface 4-1BB, and CD30 with anti-4-1BB-FITC and anti-CD30-FITC, respectively as described above. To identify 4-1BB and CD30, two-color staining with anti-4-1BB-biotin and streptavidin-PE for 4-1BB and anti-CD30-FITC for CD30 was used. Following the fixing of the cells with 4%  
20 paraformaldehyde and permeabilization with 0.1% saponin, the cells were further stained with IF-PE or IL-4-PE at 1  $\mu$ g/ml, in 0.1% saponin. Cells were finally re-suspended in PBS containing 1% BSA and analyzed for two-color stained surface markers and intracellular cytokines by FACScan. In some cases, the cells were stained for CD4 in addition to 4-1BB or CD30 with anti-CD4-Cy-  
25 Chrome before intracellular staining.

### Results

The maximal expression of 4-1BB was seen after repeated activation by its own signal (e.g., positive feedback loop). CD28 is expressed on the majority of naive and memory T cells. In contrast to CD28, 4-1BB, another co-  
30 stimulatory molecule, were not detected in naive T cells freshly prepared from healthy volunteers. The activation conditions for the maximum 4-1BB expression on human T cells were also investigated. Expression of 4-1BB was

induced by PHA or anti-CD3 stimulation with maximum plateau levels after 3 to 4 days. At peak, less than 20% of T cells were 4-1BB-positive.

Upon resting in IL-2 (100 u/ml) for 10 days, following PHA stimulation (referred to as PHA/IL-2 cells), 4-1BB expression declined to less than 5% of T cells positive. Reactivation of PHA/IL-2 cells by anti-CD3 and anti-CD28 in the presence or absence of anti-4-1BB gave rise to a remarkable increase of 4-1BB. More than 50% of T cells became 4-1BB positive in 3 days. The high 4-1BB expression was transient, and continuous anti-CD3 reactivation with anti-CD28 alone for the next cycle of reactivation did not maintain 4-1BB expression, resulting in less than 10% 4-1BB positive cells. In contrast, 4-1BB co-engagement with anti-CD28 co-stimulation resulted in a dramatic difference, leading to a continuous increase in 4-1BB expression to higher than 60% with repeated activation. This finding indicates that high 4-1BB expression requires repeated TCR activation with co-stimulatory signals from both CD28 and 4-1BB. Anti-CD3 activation with 4-1BB co-stimulation without CD28 involvement resulted in only modest effects on the 4-1BB induction.

It was therefore determined that the 4-1BB signal plays an important role in its own expression, with CD28 remaining essential. The high 4-1BB expression caused by co-stimulation of its own signal with CD28 may provide the means for polarizing to 4-1BB expressing T cells through positive feedback loops during repeated antigen challenge *in vivo*. The 4-1BB signal regulated CD28 co-stimulation to enhance type 1 cytokine but to suppress type 2 cytokine production.

PHA/IL-2 cells were reactivated by anti-CD3 with co-stimulatory antibodies to 4-1BB, or CD28, or a combination of both 4-1BB and CD28. The cytokines secreted into the conditioned media following 3-day reactivation were assayed. Since 4-1BB is induced both in CD4+ and CD8+ cells. The designation given the effector phenotypes for CD4+ and CD8+ T cells was collectively type 1 and type 2, respectively, instead of Th1 or Th2. The 4-1BB signal enhanced IL-2, TNF- $\alpha$ , and IF, which are largely classified as type 1 cytokines, several times higher than the levels induced by CD28 co-stimulation alone. Among the induced cytokines, IF (gamma interferon) was most significantly enhanced by 4-1BB (7.3-fold). The 4-1BB alone, without CD28

co-stimulation, did not show significant effect. It was surprising that additional 4-1BB signal to CD28 instead suppressed IL-4, a type 2 cytokine and TGF- $\beta$ , to a lesser degree, below the levels induced by CD28 co-stimulation alone. The 4-1BB signal suppressed CD28-mediated IL-4 production in a dose-dependent manner. These results indicate that 4-1BB plays a regulatory role in inducing specifically type 1 and suppressing type 2 cytokine production as well when co-engaged with CD28 co-stimulation.

The 4-1BB signal expanded IF-producing cell population exclusively in CD30-negative cells. Concurrent enhancement of type 1 and suppression of type 2 cytokine production by 4-1BB signaling spurred the examination as to whether 4-1BB co-engagement with CD28 in co-stimulation was actually involved in the induction of type 1 subset T cell development. The IF-producing cells were identified at the single-cell level by detecting intracellular IF by flow cytometry.

PHA/IL-2 cells produced IF-producing cells in 3% of the total population upon reactivation with anti-CD3 and anti-CD28. The same cells reactivated by anti-CD3 and anti-CD28 with additional anti-4-1BB, increased IF-producing cells to more than 15% of the population. Therefore, enhanced IF in the culture medium as a result of 4-1BB co-engagement to CD28 in co-stimulation may be attributed to an increase of IF-producing cells. It is not known whether IF-producing cells were developed directly by the 4-1BB signal or indirectly by the promoted cytokines or a combination of both.

The IF-producing cells generated by anti-4-1BB were further identified by two surface markers, 4-1BB and CD30, another inducible co-stimulatory molecule with structural homology to 4-1BB as members of TNF receptor superfamily. Other investigators have proposed CD30 as a potential Th2 marker. The majority of IF-producing cells were 4-1BB-positive. Conversely to 4-1BB, the same IF-producing cells appeared to be predominantly CD30 negative. These results indicate that the 4-1BB signal is involved in expanding specifically IF-producing but CD30-negative cells possibly due to type 1 subset-specific 4-1BB expression. A positive correlation between the intensities of intracellular IF and surface 4-1BB expression, as manifested in the dot plot by tilted cone-shaped patterns was also found. The positive correlation of IF and

4-1BB expression supports the view that the 4-1BB signal is directly involved in *de novo* IF induction, as well as expansion of IF-producing cells. The 4-1BB protein was expressed preferentially on IF-producing, not on IL-4-producing T cells.

5           Because 4-1BB-induced IF-producing cells lacked CD30, next examined was whether 4-1BB expression was exclusively limited to the cells with type 1 phenotype. For the purposes of this disclosure, gamma interferon and IL-4 cytokines were chosen as representative marker cytokines for types 1 and 2 phenotypes, respectively, and compared the level of 4-1BB expression in two  
10   subset populations.

          The PHA/IL-2 cells were reactivated by anti-CD3 with anti-CD28 and anti-4-1BB for 3 days, and were stained for surface 4-1BB and, subsequently identified by marker cytokines, IF or IL-4. The level of 4-1BB expression in the populations gated out for IF- and IL-4-positive cells were compared. A  
15   significant difference in 4-1BB expression levels between the IF and IL-4-producing cells was found. The 4-1BB expression was highly enriched in IF-positive cells (61.7%) compared to IL-4-producing cells (22.7%). These results indicate that 4-1BB is not randomly, but preferentially expressed on type 1 subset cells. 4-1BB and CD30 are mutually exclusive in expression.

20           The results indicate that 4-1BB mediates type 1 T cell differentiation based on the preferential 4-1BB expression on the IF-producing cells and its ability in inducing IF production. On the other hand, other groups have demonstrated that CD30 is a Th2 marker or a marker for the IL-4 response. Also examined was whether 4-1BB and CD30 expression were exclusively divided  
25   into two different subsets of T cell populations. The surface expression of 4-1BB and CD30 by flow cytometry in CD4+ T cells using two-color staining was analyzed. Both 4-1BB and CD30 were expressed on CD4+ and CD4- cells that were more than 95% CD8+. The correlation of 4-1BB and CD30 expression in CD8+ cells was analyzed because 4-1BB expressed predominantly on CD8+  
30   cells. 4-1BB and CD30-expressing cells were largely divided into two separate groups with only a minor cell population shared with both 4-1BB and CD30. These CD4+ cells also gave rise to similar 4-1BB and CD30 expression patterns with mutually exclusive expression with one another. The results demonstrated



that 4-1BB and CD30 were mutually exclusive in expression on T cells, and this mutual exclusiveness of the two molecules did not result from their differential dominance in expression between CD4+ and CD8+ cells.

#### 4-1BB Signaling Down-Regulated CD30-Positive Cell Population

5        Considering that both 4-1BB and CD30 are co-stimulatory molecules, the possibility of cross-regulation between 4-1BB and CD30 in developing CD30-producing cell populations was examined. To do this, the levels of CD30-expressing cell development were measured by flow cytometry during early activation in response to 4-1BB or CD30 signaling. PHA-activated (2 days)  
10    PBMC were immediately reactivated for 2 days with anti-CD3 and anti-CD28 plus additional antibodies to 4-1BB and CD30, or both 4-1BB and CD30. It was determined that the 4-1BB and CD30 signaling counteracted one another in the levels of CD30-producing cell development. 4-1BB signaling clearly down-regulated the CD30 levels below the levels of the cells reactivated with CD28  
15    alone. CD30 signaling increased CD30-positive cell population in exactly the same manner that 4-1BB-induced 4-1BB expression. The 4-1BB signal reversed the effect of CD30 when both 4-1BB and CD30 signals were present. 4-1BB and CD30 signaling might cross-regulate each other's functions, by down-regulating the cell population with counterpart molecules through the release of  
20    antagonistic cytokines, for example, IF and IL-4. The results support that 4-1BB and CD30 may be primarily responsible for the maintenance of the subset cells in expressing their own molecules. Regulation in co-engagement of 4-1BB and CD30 with CD28 during repeated TCR activation may provide another controlling mechanism for polarizing type 1 and 2 development. Co-  
25    engagement of 4-1BB signal with CD28 co-stimulation was critical to maintain proliferation during repeated anti-CD3 activation.

It was also discovered that after multiple cycles of reactivation of previously activated cells by a high dose of soluble anti-CD3, significant cell death was seen. This was true even in the presence of substantial amounts of  
30    anti-CD28. These results are consistent with recent reports by others that TCR re-engagement induced apoptosis after a strong initial proliferative response to antigen, and large concentrations of IL-2 for cell cycle progression. One of the consequences of repeated *in vitro* activation, especially following an exposure to

high dose IL-2, is the gradual loss of responsiveness to CD28 co-stimulation. The repeated TCR activation, a condition for cell death, instead, induced 4-1BB expression. It is therefore believed that continuous reactivation may cause irreversible damage, leading to apoptosis, even in the presence of CD28

5 signaling, and that co-engagement of 4-1BB with CD28 could prevent the anti-CD3 reactivation driven apoptosis. The effects of 4-1BB on cell proliferative activity during *in vitro* repeated activity by anti-CD3 and anti-CD28 was studied. The PHA-activated T cells, after IL-2 stimulation for 10 days until the T cell blasts returned to smaller cell sizes, were continuously reactivated by anti-CD3  
10 and anti-CD28 with or without 4-1BB co-engagement. After each of three cycles of reactivation, the cells were reactivated again by anti-CD3 in 96-well plates coated with serially diluted anti-CD28 from 0.01 to 10 µg/ml with or without an additional 10 µg/ml of anti-4-1BB.

The cells were examined for proliferative activity by measuring  
15 [<sup>3</sup>H]thymidine incorporation. As the number of reactivation cycles proceeded, cells became less responsive to anti-CD28, resulting in a lower maximum proliferation plateau, even with saturated anti-CD28 concentrations. After the third cycle reactivation, T cells barely responded to anti-CD28 alone. The 4-1BB co-engagement with CD28, however, exerted a dramatic effect in  
20 overcoming the defective proliferation, as well as fully restoring maximal plateaus of expression. The 4-1BB signal alone failed to demonstrate a strong level of expression. The 4-1BB effects were blocked by the functional antagonist, 4-1BBFc, the soluble form of 4-1BB when it was included in reactivation, indicating the specificity of anti-4-1BB.

25 Because the most significant 4-1BB effects were observed after the response of anti-CD28 has been weakened by anti-CD28 repeated activation, one of the primary functions of 4-1BB response, is likely to maintain clonal expansion by synergistic cooperation with CD28 during continuous high-dose antigen re-challenge.

30 Effects of the 4-1BB on Progression of the Cell Cycle in Repeatedly Activated T cells

Since both cell proliferation and death events occurred simultaneously during repeated *in vitro* CD-3 activation, the effects of 4-1BB signal on cell

cycle progression and apoptotic events was determined. This was accomplished by measuring DNA stained with propidium iodide. Staining was done during reactivation.

In order to examine the effect of 4-1BB signal transduction on cell cycle and apoptotic status during AICD, the PHA/IL-2 cells were reactivated with anti-CD3, anti-CD28, or anti-4-1BB alone, or with a combination of both antibodies for 3 days in two cycles. The DNA content of cells stained with propidium iodide were analyzed by the ModFit software program.

Consistent with previous proliferation results, neither anti-CD28 nor anti-4-1BB ligation alone gave rise to a significant cell population in S-phase (less than 10%), but a relatively high fraction was in sub-G-phase supposedly representing apoptotic cells. Simultaneous ligation of 4-1BB and CD28 resulted in a dramatic increase of the cell population in the S phase (higher than 40%), and a concomitant decrease in sub-G-phase cells. These results indicate that additional co-stimulation between 4-1BB and CD28 was essential, not only for enhancing the progression of cells through the G1/S phase transition, but also for preventing apoptotic cell death during chronic stimulation. The 4-1BB molecule is a regulatory co-stimulatory molecule for promoting type 1 subset development in cooperation with CD28.

The data disclosed herein support a two-step model of activation of T cells. In the first step, T cell activation involves CD28-B7 recognition as a co-stimulatory signal with antigenic signal via TCR. As a result of primary T cell activation, the activated T cells can enter into secondary cognate-dependent recognition. The new regulatory co-stimulatory molecules and their ligands, provided by activated APCs, will interact by sequential cross-talk between T cells and APCs in a way specific for the different circumstances. In the secondary activation phase, cells will require additional signals besides CD28-B7 recognition in order to prevent TCR activation-driven cell death, as well as to promote effector cell differentiation, especially after cells progress through many cycles of division. In this model, the cognate-dependent recognition of new regulatory molecules must be tightly regulated to deliver either the survival or death signal.

To delineate the role of 4-1BB, an experimental model with *in vitro* repeatedly activated cells was adopted. CD28 alone appeared to be incapable of maintaining cell cycling after cells were repeatedly activated. The results indicate that the co-engagement of 4-1BB and CD28 maintained long-term proliferative activity. Therefore, 4-1BB may be able to inhibit complex regulatory networks by CD30 or Fas which promotes cell death. In this context, 4-1BB is a survival factor specifically directed to type 1 cells in the secondary activation phase. Moreover, it has been shown that 4-1BB co-engagement with CD28 is critical to the promotion of type 1 phenotype responses, and in the specific expansion of the cell populations expressing 4-1BB.

Delayed type hypersensitivity (DTH) is mediated primarily by Th1 populations that produce IF. Alteration of the Th1/Th2 regulatory networks may be important in determining the local immune responses. The production of Th2 cells provides a strong foundation for their anti-inflammatory effects *in vivo*. Recently, it has been reported that the CD28/B7 pathway selectively promotes Th2 development for autoantigen-specific T cells in the non-obese diabetic mouse. Blockage of 4-1BB-mediated Th1 responses to induce anti-autoimmune Th2 cells is thus a good approach for antigen-specific therapies for the harmful DTH reaction involved in autoimmune diseases. The pathogenic progression of HIV infection also has been associated with diminished type 1 and enhanced type 2 cytokine production. Therefore, enhancing the activity of type 1 cytokines would have the benefit of offering a way to intervene in HIV-infected individuals. CD30 signal enhances both HIV transcription and IL-4 production, in response to CD3 antibody. Therefore, continuous use of anti-CD28 co-stimulation would prevent or hinder HIV-1 promotion.

#### Example 4

##### Use of Anti-H4-1BB Antibodies Against HIV-1 Infected Cells

4-1BB is not detected in human peripheral blood T lymphocytes, but it is induced by stimulation with mitogen and/or cross-linking of CD3, ranging from 5% to 20%, followed by a gradual decrease after prolonged activation. Signaling through 4-1BB is involved in the regulation of proliferation and survival of T lymphocytes. 4-1BBFc fusion protein prevented anti-CD3-induced proliferation

and caused cell death, not only in murine splenocytes, but also in human PBMC. Recently, it was determined that the CD28 molecule co-stimulation was essential for the induction of 4-1BB, which in turn enhanced its own expression as a positive feedback loop upon continuous stimulation. The 4-1BB signal resulting from combined co-stimulation from both CD28 and 4-1BB subsequently facilitated cell survival and effector cell development. In HIV-1 infection, CD28 expression is down-regulated, as manifested by a significant correlation observed between the number of CD28 and CD8+ T cells and the presence of HIV-1-related disease. Previous studies by others indicated that co-stimulation of CD4+ T cell via soluble monoclonal antibodies against CD28 promoted HIV-1 infection and replication *in vitro*. In contrast to these results, when CD4+ T cells from HIV-1 individuals were stimulated with immobilized anti-CD3 plus immobilized anti-CD28 mAb, there was an increased number of polyclonal CD4+ T cells with a declined HIV-1 viral load.

#### 15 The Role of 4-1BB in T Lymphocytes from HIV-1 Infected Individuals

The levels of 4-1BB expression on T cells from 40 HIV-1 positive and 12 HIV-1 negative individuals were compared. Also examined was whether T cells from HIV-1 positive individuals, impaired in their response to TCR/CD3-mediated signal, could be restored by 4-1BB co-stimulation, and the effects of 4-1BB ligation on HIV-1 viral load.

#### 20 Materials and Methods

##### Patients

HIV-1-infected patients' blood samples were from the outpatient clinic at Wishard Hospital Department of Medicine, Division of Infectious Diseases. The study covered 55 HIV-1 positive individuals, of which 47 were classified as CD stage II/III and 18 were classified as having HIV-1 related diseases (stage IV). Twelve sex- and age-comparable healthy donors were studied as controls.

##### Antibodies and Reagents

The anti-4-1BB monoclonal antibodies (mAbs), BBK-1, BBK-2, BBK-3 and BBK-4 were produced as described previously. BBK-1 and BBK-4 are agonistic for T cell activation and were used in the present studies. BBK-2 and BBK-3 are 4-1BB antagonists, and were also developed and used for the present studies. FITC-conjugated anti-4-1BB mAb was generated by incubating

the purified BBK-1 with FITC (Pierce, Rockford, IL) according to the manufacturer's instructions. Anti-CD28 mAb, 9.3, was a gift from Dr. Carl June (Naval Medical Research Institute, Bethesda, MD). Anti-CD3 mAb was purchased from Ortho (Raritan, NJ). Anti-CD4 mAb/Cychrome, anti-CD8 mAb/Cychrome and isotype control mAbs were obtained from Pharmingen (San Diego, CA). Magnetic beads that were conjugated with Goat anti-mouse IgG(M-450) were purchased from Dynal (Lake Success, NJ).

#### Cells and Cell Stimulation

Human peripheral blood mononuclear cells (PBMC's) were prepared from EDTA anti-coagulated blood by Histopaque 1077 (Sigma, St. Louis, MO) density centrifugation. CD4<sup>+</sup> T cells were prepared from PBMC by negative depletion using Lymphokwik (One Lambda Inc., Canoga Park, CA) according to manufacturer's instruction. Briefly, PBMC were treated with Lymphokwik Th isolation solution for 45 minutes at 37°C followed by a 5 minute centrifugation. The purity of CD4<sup>+</sup> T cells were around 85-90% with less than 5% T cells by flow-cytometric analysis. The culture medium was RPMI 1640 (Life Technologies, Inc.) supplemented with 10% fetal bovine serum (Hyclone, Utah), penicillin (50 u/ml), streptomycin (50 µg/ml), and 2 mM glutamine (Sigma) (RPMI-CM). PBMC were used before or after PHA (Calbiochem, 5 µg/ml) activation for 3 days in RPMI-CM. Freshly isolated CD4<sup>+</sup> T cells ( $2.5 \times 10^4$ /well) were added into a flat-bottom 96-well microtiter plate (Costar Corporation, Cambridge, MA) coated with anti-CD3 mAb in combination with BBK-4 or 9.3 or both as indicated concentration.

Antibody immobilization to culture plates was carried out in phosphate-buffered saline, pH 7.3 (PBS) overnight at 4°C. In some experiments, mAbs were conjugated to magnetic beads (M-450, Dynal) by adding 150 fg of each antibody per bead and added at a ratio of three beads per CD4<sup>+</sup> T cell in virus induction studies. Polyclonal CD4<sup>+</sup> T cell lines were generated by culturing fresh CD4<sup>+</sup> T cells with PHA (5 µg/ml) and recombinant IL-2 (20 U/ml) (Boehringer, Mannheim, Indianapolis, IN) 10 days with replacement of fresh IL-2 every 3 days. CD4<sup>+</sup> T cell blasts ( $5 \times 10^4$ /well) from polyclonal T cell lines were further cultured for 3 days in a 96-well plate in the presence of co-

stimulatory antibodies as described above. Human 4-1BB cDNA transfected Jurkat cells (J8-1) were prepared, and maintained in RPMI-CM.

#### Flow-Cytometry Analysis

Cells were stained and analyzed on FACScan (Becton Dickinson), as described in Hurtado et al., *J. Immunol.*, 150:771-781 (1993). Briefly, fresh cells were washed once and cultured cells 3 times in PBS containing 1% BSA. Approximately  $2.5-5 \times 10^5$  cells were re-suspended in 200  $\mu$ l PBS-1% BSA with diluted mAbs and incubated on ice for 30 minutes. After washing twice, cells were fixed with 1% paraformaldehyde. Flow cytometry analysis was carried out on lymphocyte-gated cells based on forward-versus-side scatter profiles.

#### Proliferation Assay

The cells in quadruplicate wells were stimulated for 5 days and then pulsed for 6 hrs with [ $^3$ H] thymidine (TdR) (NEN, Boston, MA) at 1.0  $\mu$ Ci/well. The stimulation index was calculated by dividing the counts per minute (CPM) of [ $^3$ H] of stimulated cells by those of unstimulated cells.

#### RT Activity Assay

Virion-associated reverse transcriptase (RT) activity was measured as described by Willey et al. (*J. Virol.*, 62:139-47, 1988), with modification as follows: 5  $\mu$ l of 7-day culture supernatants were added in triplicate to 25  $\mu$ l of a mixture which contained a template primer Poly(A) (5  $\mu$ g/ml), Oligo(dT) (1.57  $\mu$ g/ml) (Pharmacia) and 10  $\mu$ g [ $^3$ H] dTTP (Amersham Corp., Arlington Heights, IL) in 50 mM Tris, pH 7.8, 7.5 mM MgCl<sub>2</sub>, 2 mM dithiothreitol (DTT). After incubation for 2 hrs at 37°C, 6  $\mu$ l of the mixture was spotted onto DE81 paper, air-dried and washed five times in  $2 \times$  SSC (150 mM sodium chloride/15 mM sodium citrate, pH 7.0) buffer and two additional times in 95% ethanol. The papers were dried, cut and counted on a scintillation counter.

#### Gene Transfection and Chloramphenicol Acetyltransferase (CAT) Activity Assay

Human Jurkat T lymphocytes and 4-1BB-transfected subline, J8-1, were transiently transfected with PHIV-1-LTR-CAT plasmid (20  $\mu$ g/ $10^7$  cells) by DEAE-Dextran method as described by Bressler (*J. Immunol.*, 147:2290-94, 1991). Luciferase genes were co-transfected for normalization. After 24 hr post-transfection, the cells were stimulated with immobilized mAbs as indicated or

PHA (5 µg/ml) plus PMA (10 ng/ml) for 24 hr prior to harvest. Whole-cell extracts were prepared from transfectants and CAT activity were performed in a final volume of 150 µl containing 0.1 µCi (3.7 kBq) of <sup>14</sup>C-chloramphenicol, 4 mM butyl-coenzyme A and 0.25 M Tris-HCl pH 7.4 at 37°C overnight. The results are given in percent conversion of chloramphenicol to its monoacetylated forms. Values were obtained from 4 independent transfections following normalization with luciferase activities.

#### Statistical Analysis

Data are presented as means ± SD. Two-tailed Student's t-test was used to determine the significance of the differences between groups. Correlation was calculated using a "r" linear correlation coefficient.

#### Results

##### Expression of 4-1BB on CD4+ and CD8+ T cells from HIV-1 PBMC: Clinical correlation.

Forty HIV-1-infected subjects and twelve seronegative controls were examined for 4-1BB expression on T cells by immunofluorescent cytometry. The expression levels of 4-1BB were not detectable on unstimulated T lymphocytes either from HIV-1-infected or control individuals prior to *in vitro* stimulation. After PHA stimulation, the percentage of 4-1BB expressing cells was significantly higher in HIV positive individuals than in the HIV-1 negative control individuals. It should be noted that not only the level of 4-1BB expression but also the population of 4-1BB+ T cells were increased in HIV-1 positive individuals. The distribution of 4-1BB expression on CD4+ and CD8+ T cells within the 3 groups was 10.9% of CD4+ T cells in HIV-1 controls, and 28.9% of CD4+ T cells among asymptomatic HIV-1 positive individuals ( $p < 0.01$ ). 4-1BB was expressed on 30.9% of CD4+ T cells in stage IV individuals. The difference in 4-1BB expression between control and stage II/III patient T cells was more profound in CD8+ T cells than in CD4+ T cells. Furthermore, a significant increase of 4-1BB CD8+ T cells was found in stage IV patients (median 47.9%), compared to asymptomatic individuals ( $p < 0.05$ ). In stage II/III individuals, there was a significant correlation in 4-1BB expression between CD4+ and CD8+ T cells ( $r = 0.72$   $p < 0.01$ ). There was also a reverse



correlation between absolute CD4+ cell counts and percentage of 4-1BB-expressing CD8+ T cells ( $r=-0.63$ ,  $p<0.05$ ) in all HIV-1 positive individuals.

#### The Proliferative Response of CD4+ T Cells to 4-1BB Co-Stimulation

4-1BB signal alone with CD3 stimulation was not sufficient for the proliferation of the primary T cells. Therefore, the synergistic effects of anti-4-1BB with anti-CD28 mAb were tested, and the proliferation of CD4+ T cells from 3 HIV-1-healthy donors was measured through the use of serially diluted anti-CD28 (0 to 10  $\mu\text{g/ml}$ ) with or without anti-4-1BB (10  $\mu\text{g/ml}$ ) were immobilized on tissue culture plates, with anti-CD3 mAb (1  $\mu\text{g/ml}$ ). The CD4+ T cells were cultured with the mAbs for 5 days and cell proliferation was measured by [ $^3\text{H}$ ] TdR uptake. It was found that 1  $\mu\text{g/ml}$  of anti-CD28 mAb was able to synergize with 4-1BB co-stimulatory activity. Based on these results, the combined anti-CD28 (1  $\mu\text{g/ml}$ ) and anti-4-1BB mAb (10  $\mu\text{g/ml}$ ) were used to investigate the 4-1BB co-stimulatory function on CD4+ T cell proliferation from HIV-1 positive individuals. The CD4+ T cells purified from PBMC from 9 HIV-1 positive individuals (CD4+ counts:  $468 \pm 142$ ) were examined for proliferation after 5-day cultures. 4-1BB signal alone showed nearly no stimulatory activity in CD4+ T cells of HIV-1 positive individuals. However, CD4+ T cell proliferation occurred with 4-1BB cross-linking when suboptimal stimulation through CD28 was added. In addition, it was determined that the CD4+ T cell proliferative responses were lower in HIV-1 infected individuals compared with HIV-1 individuals. Furthermore, the lower proliferation corresponded to lower CD4+ T cell counts.

#### H4-1BB Co-Stimulation and HIV-1 Production

To study the effect of 4-1BB co-stimulation on HIV-1 production in CD4+ T cell, the CD4+ T cells by plate-bound or bead-conjugated mAbs were stimulated. HIV-1 production was measured by reverse transcriptase (RT) activity and cell proliferation was measured by [ $^3\text{H}$ ] TdR uptake as designated by a stimulation index (SI). The positive threshold of RT activity was set by the values higher than the median of negative controls by 3 standard deviations. Table 1 summarizes the results from the 6 HIV-1+ patients who had a positive virus replication (from at least one of the stimulation groups) among 10 tested individuals. The proliferation index of some groups was not determined,

because nearly no cells survived in these groups after 7-day culture. In two patients, RT activity was detected only from the combined CD28 and 4-1BB co-stimulation group, but not from CD28 alone group, although both groups showed a similar stimulation index. In three patients, RT activity was similar or slightly higher in the combined CD28 and 4-1BB co-stimulation groups, compared with CD28 co-stimulation alone. In all these 6 patients, no virus was detected from CD3 stimulation or CD3 stimulation with 4-1BB co-stimulation groups.

It was also found that most of the CD4+ T cells which produced virus after stimulation were from patients of lower CD4 counts. Table 2 shows data from 6 of 9 patients whose T cells were stimulated with mAbs conjugated to Dynal M450 beads and produced RT activity from at least one of the stimulation groups. Compared with plate-bound mAb stimulation, the stimulation mediated by bead-conjugated mAbs was higher in levels of RT activities, perhaps indicating that mAbs immobilized on beads gave stronger signals. Bead-conjugated mAb stimulation could make cells proliferate even in CD3 and CD3 plus 4-1BB stimulation group. It became, therefore, possible to observe the function of 4-1BB co-stimulation alone in virus replication. As shown in Table 2, the combined CD28 and 4-1BB co-stimulation produced virus from CD4+ T cell cultures from all donors. The RT activity increased from 1.2-fold to 11-fold by combined co-stimulation of CD28 and 4-1BB compared to CD28 co-stimulation alone. Similar results were obtained when another anti-4-1BB mAb (BBK-4) were used in 2 donors.

Importantly, the virus was also detected in co-stimulation with anti-4-1BB mAb alone, although the stimulation index was very low after 7-day culture in these groups. The data summarized in Tables 1 and 2 suggest that co-stimulation via 4-1BB results in CD4+ T cell activation and subsequently, enhances virus production. These activation signals for HIV-1 production may coincide with those that mediate the proliferative response.

Table I. Costimulation via Plate-Bound MAbs of CD4<sup>+</sup> T Cells from HIV-1-Infected Donors

Stimulation <sup>a</sup>	Patient A (348 CD4 <sup>+</sup> /μl)		Patient B (333 CD4 <sup>+</sup> /μl)		Patient C (398 CD4 <sup>+</sup> /μl)		Patient D (243 CD4 <sup>+</sup> /μl)		Patient E (272 CD4 <sup>+</sup> /μl)		Patient F (635 CD4 <sup>+</sup> /μl)	
	RT <sup>b</sup>	SI <sup>c</sup>	RT	SI	RT	SI	RT	SI	RT	SI	RT	SI
Anti-CD3	N	<1	N	<1	N	<1	N	ND	N	ND	N	ND
Anti-CD3 + anti-CD28 (10 μg)	N	163	N	60	3.3	116	3.7	72	170	43	N	160
Anti-CD3 + anti-CD 28 (1 μg)	N	3	N	24	N	16	N	8	N	9	N	21
Anti-CD3 + anti-CD28 (1 μg) + anti-4-1BB	3.4	135	3.3	51	3.4	115	4.7	73	190	41	N	156
Anti-CD3 + anti-4-1BB	N	1	N	<1	N	<1	N	ND	N	ND	N	ND
PHA + IL-2	5.3	36	3.3	13	N	15	N	6.6	N	2	7.7	19

<sup>a</sup> Donor CD4<sup>+</sup> T cells were stimulated with plate-bound anti-CD3 MAb (1 μg/ml) alone, or in combination with immobilized anti-CD28 MAb (10 or 1 μg/ml), anti-4-1BB MAb (10 μg/ml), or anti-CD28 (1 μg/ml) plus anti-4-1BB (10 μg/ml). PHA + IL-2 stimulation is also shown.

<sup>b</sup> RT activity ( $\times 10^4$  cpm/250 μl) in the culture supernatant was measured on day 7.

<sup>c</sup> Stimulation index (SI) was calculated by dividing the cpm of <sup>3</sup>H of stimulated cells by those of unstimulated cells.

Abbreviations: N, Negative; ND, not tested.

Table 2. Costimulation via Bead-Binding MAbs of CD4<sup>+</sup> T Cells from HIV-1-Infected Donors

Stimulation <sup>a</sup>	Patient G (562 CD4 <sup>+</sup> /μl)		Patient H (634 CD4 <sup>+</sup> /μl)		Patient I (436 CD4 <sup>+</sup> /μl)		Patient J (336 CD4 <sup>+</sup> /μl)		Patient B (313 CD4 <sup>+</sup> /μl)		Patient K (518 CD4 <sup>+</sup> /μl)	
	RT <sup>b</sup>	SI <sup>c</sup>	RT	SI	RT	SI	RT	SI	RT	SI	RT	SI
Anti-CD3	4.2	6	N	ND	4.8	ND	N	6	3.2	2	12	ND
Anti-CD3 + anti-CD28	3.0	148	N	87	13	61	5.3	30	9.8	22	37	45
Anti-CD3 + anti-CD28 +	33	171	4.5	98	27	64	17	26	13	19	64	46
anti-4-1BB												
Anti-CD3 + anti-4-1BB	14	32	5.3	ND	6.8	3	3.8	6	6.1	2	23	ND
PHA + IL-2	4.2	10	26	68	4.8	16	N	4	7.1	10	92	21

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<sup>a</sup> Donor CD4<sup>+</sup> T cells were stimulated with bead-conjugated anti-CD3 MAb alone, or in combination with anti-CD28 MAb, anti-4-1BB MAb, or anti-CD28 plus anti-4-1BB. Each MAb for conjugation was present at 150 fg/bead. PHA + IL-2 stimulation is also shown.

<sup>b</sup> RT activity ( $\times 10^4$  cpm/250 μl) in the culture supernatant was measured on day 7.

<sup>c</sup> Stimulation index (SI) was calculated by dividing the cpm of <sup>3</sup>H of stimulated cells by those of unstimulated cells.

Abbreviations: N, Negative; ND, not tested.

#### 4-1BB Co-Stimulation and Virus Replication in Polyclonal CD4+ T Cell Lines from HIV-1+ Individuals

To further confirm the findings that 4-1BB signal enhanced HIV-1 replication in CD4+ T cells from HIV-1-infected individuals, polyclonal CD4+ T cell lines were generated from 6 HIV-1 asymptomatic individuals by stimulation with PHA and IL-2 for 10 days. No, or very low RT activities were detected from the culture supernatants. These cells were subsequently stimulated with immobilized anti-CD3 mAb and additional co-stimulatory antibodies for 3 days. The virus level was significantly higher in 4-1BB co-stimulation than anti-CD3 mAb stimulation alone ( $P < 0.05$ ). In contrast, although the virus level was higher in CD28 co-stimulation group than in CD3 stimulation group, no statistical significance was found between these two groups. In this study, the proliferation Index were almost the same in all of these groups. These results from polyclonal CD4+ T cell lines are consistent with those obtained from primary CD4+ T cell stimulation which is presented in Tables 1 and 2. Taken together, the data suggest that 4-1BB co-stimulation enhances virus production in HIV-1-infected CD4+ T cell cultures.

#### 4-1BB Ligation Enhances LTR-Driven Transcription in Jurkat Cell Line

The J8-1 cell line is a subline of Jurkat, and is a 4-1BB-transfectant that expresses a high level of 4-1BB constitutively. J8-1 was transiently transfected with pHIV-1+LTR-CAT and subsequently activated with immobilized co-stimulatory mAb or PHA plus PMA. The parental Jurkat cells which express no detectable 4-1BB by flow cytometry were used as negative controls. The level of CAT activities in stimulation groups was shown as fold over unstimulated control. A 1.9-fold increase in CAT activity was observed in immobilized anti-CD3 mAb stimulation in J8-1 compared with isotype control. Anti-4-1BB mAb by itself did not increase the CAT activity in JS-1. However, when combined with immobilized anti-CD3 mAb, anti-4-1BB mAb gave a 5.8-fold increase in CAT activity compared with isotype control or a 3.2-fold increase compared with anti-CD3 mAb stimulation alone. 4-1BB stimulation had an additional effect on CD3 plus CD28 combined stimulation. In the parental Jurkat cell, 4-1BB co-stimulation produced 1.2-fold more CAT activity compared with anti-CD3 mAb alone. These slight increases of CAT activity from 4-1BB co-

stimulation in parental Jurkat cells may come from the small amount of 4-1BB expression induced during activation. From these data, it was shown that 1) H4-1BB co-stimulation with TCR/CD3 enhances transcription of the HIV-1-LTR; 2) 4-1BB provides additional stimulatory effect on HIV-1-LTR to anti-  
5 CD3 plus anti-CD28 stimulation.

#### Discussion

The results of this data indicate that the relative proportion of T cells expressing 4-1BB both in CD4+ and CD8+ T cells is increased from HIV-1-seropositive individuals after PHA stimulation, and relative expression of 4-1BB  
10 on CD8+ T cells is correlated to CD4+ T cell counts, which may be related to disease severity and progress. The 4-1BB molecule was expressed rapidly and reached its peak between 48-72 hrs. The levels of expressed H4-1BB began to decrease by 72 hrs post-stimulation and eventually went back to normal levels. Once these cells were reactivated, it was determined that the level of 4-1BB on  
15 each cell and the number of 4-1BB expressing cells increased.

During HIV-1 infection, at an early stage after HIV antigen stimulation, T cells are primed, but after several weeks, some of them acquire characteristics of memory cells, and continue to express the same set of activation markers. This set of markers includes: HLA-DR and CD38. These may account for the  
20 finding that no 4-1BB expression was found on resting T cells, which is itself related to the continuous activation and death of CD4+ 4-1BB+ T cells in response to HIV-1 antigen *in vivo*. The increased 4-1BB expression on T lymphocytes of HIV-1-infected individuals after *in vitro* stimulation would then reflect not only the current state of immune activation in HIV-1 infection,  
25 but also the state of memory-primed cells challenged by HIV-1 antigen during acute infection *in vivo*. The increased 4-1BB expression on CD4+ T cells after re-stimulation is related to the increase of virus replication. In HIV-1 infection, CD8+ T cells play a role in suppressing HIV-1 replication through the classical HLA-restricted cytotoxicity of infected cells and non-cytolytic mechanism that  
30 involves some secreted CD8-cell antiviral factors. 4-1BB, as a co-stimulatory molecule, with its increased expression on CD8+ cells in HIV-1 infection, may relate to CD8+ T cell proliferation and antiviral function. In HIV-1 patients,

CD8+ T cells generally proliferate more vigorously than CD4+ T cells when the cells were stimulated with anti-4-1BB mAb.

Ligation of CD28 with soluble mAb in the presence or absence of soluble anti-CD3 has been reported to induce virus from CD4+ T cells prepared from HIV-1-infected donors. Activation of CD4+ T cells from HIV-1 positive donors with immobilized anti-CD3 and anti-CD28 mAb, however, induced a virus-resistant state. This effect was specific for macrophage-tropic HIV-1 and appears to be the result of down-regulation of CCR5, the fusion cofactor. Although no statistically significant difference was found, the ligation of CD28 with immobilized 9.3 did increase HIV-1 replication from some HIV-1-infected donors. This observation, which differs from other reports, may be because of the methods of immobilization of anti-CD3 or anti-CD28 mAb on the beads. Studies using bead-immobilized mAbs showed that the additional signal from 4-1BB enhanced virus replication from primary CD4+ T cells from HIV-1 positive individuals. Because the exact amount of anti-CD28 mAb and anti-CD3 mAb were used in combined CD28 and 4-1BB co-stimulation and CD28 co-stimulation groups, the enhancement of virus production should be considered from additional 4-1BB signaling.

Furthermore, virus was induced by 4-1BB co-stimulation alone. In some donors, the virus levels were even higher than that by CD28 co-stimulation, although the stimulation index of primary CD4+ T cells in response to 4-1BB co-stimulation was very low. In polyclonal CD4+ T cell lines, a statistically significant difference of virus replication was found between 4-1BB co-stimulation and CD3 stimulation alone. This comparison was based on the similar stimulation index (SI) within each group. From the present studies, it was shown that cross-linking of 4-1BB and CD3 in CD4+ T cells from HIV-1+ individuals induced virus production. The function of 4-1BB co-stimulation on virus production was not correlated with the function on T cell proliferation, perhaps suggesting that the cellular pathways that mediate HIV-1 induction might be similar, but not identical, to those of mitogenic stimulation.

A number of factors have been reported to up-regulate HIV-1 expression *in vitro*. Many of these agents including TNF- $\alpha$ , anti-CD30 mAb, HIV Tat protein, activate HIV-1 transcription through the NF- $\kappa$ B enhancer present in the

HIV-1-LTR. In the experiments done, it was shown that HIV-1-LTR transactivation had been obtained by a combination of anti-CD3 and anti-4-1BB mAb. These results suggest several potential roles of 4-1BB in HIV-1 pathogenesis: (1) 4-1BB directly upregulates the transcription of the viral genome in latently infected cells; (2) 4-1BB and 4-1BB ligand interaction may activate virus replication of CD4<sup>+</sup> T cells in the presence of antigenic stimuli; (3) 4-1BB co-stimulation may activate resting CD4<sup>+</sup> T cells and as a consequence, promote an efficient propagation of newly produced virions that preferentially infect activated CD4<sup>+</sup> T cells; (4) 4-1BB-mediated signals to HIV-1 infected CD4<sup>+</sup> T cells may bind to apoptosis, resulting in premature death of infected cells.

The results demonstrate that 4-1BB expression was increased in HIV-1-infected PBMC after *in vitro* activation correlating to immune activation and disease progress. Ligation of 4-1BB in HIV-1-infected CD4<sup>+</sup> T cells enhanced virus replication *in vitro*, as mediated through an NF- $\kappa$ B pathway. If the 4-1BB co-stimulatory pathway is disturbed or purposely interfered with at early stages of the infection, it is likely that a lower virus load will result. This in turn will serve to prevent the subsequent loss of CD4<sup>+</sup> T cells, and maintain immune competency. The increased 4-1BB expression on CD8<sup>+</sup> T cells is correlated to the degree of immunodeficiency in HIV-1 infection.

### Example 5

#### Role of 4-1BB in Human CD4<sup>+</sup> T Cell Adhesion

The human 4-1BB protein is a co-stimulatory molecule for T cells. By studying the role of 4-1BB in T cell adhesion with human primary T cells and two T cell lines, CEM and Jurkat, it was discovered that 4-1BB co-stimulation induced T cell adhesion dramatically. Anti-4-1BB signaling along with PMA/ionomycin stimulation caused CEM cells, which express a high level of 4-1BB, uncharacteristic cell adhesion. In contrast, Jurkat cells which do not express a detectable level of 4-1BB demonstrated nearly no response to anti-4-1BB in cell adhesion to fibronectin (FN). When Jurkat cells were transfected to produce 4-1BB, they acquired the ability to adhere in response to FN by anti-4-1BB stimulation. An absolute co-requirement for anti-CD3 stimulation in



addition to 4-1BB signaling to cell adhesion in the Jurkat transfectants suggests that adhesion caused by anti-4-1BB is mediated through activation of adhesion signaling rather than a direct interaction between 4-1BB and FN or anti-4-1BB. It is in this way that the 4-1BB co-stimulatory signal amplifies T cell activation, by intermediating CD28 co-stimulation with adhesive responses.

#### Antibodies and Reagents for Adhesion Studies

Monoclonal anti-4-1BB, BK-4, also called 4B4-1 (mouse IgG) was used to stimulate and immunostain 4-1BB on T cells. The anti-4-1BB was conjugated with biotin for flow cytometric analysis. Anti-CD28, mAb 9.3 (mouse IgG2a) was a kind gift from Dr. C. H. June (Naval Medical Research Institute, Bethesda, MD). Monoclonal anti-CD3 (OKT3) was purchased from Ortho Diagnostic (Westwood, MA). Secondary cross-linking goat anti-mouse IgG (H + L) was purchased from Zymed (South San Francisco, CA). Blocking anti-integrin  $\beta_1$  was purchased from Immunotech (Westbrook, NE). 4-1BB-Fc, a fusion protein consisting of the extracellular portion of human 4-1BB coupled with the Fc region of human IgG<sub>1</sub>, was obtained from Immunex (Seattle, WA). An isotype control mouse IgG<sub>1</sub> (MOPC-21) conjugated with biotin was purchased from PharMingen (San Diego, CA). Human fibronectin was obtained from Dr. Fred Pavalko (Indiana University). A premixed cocktail of monoclonal antibodies and complement to isolate T cells was purchased from One Lambda (Canoga Park, CA).

#### Flow Cytometry

Selected T cells were stimulated and re-stimulated with anti-CD3 and anti-CD28 for 3 days and used for proliferation and adhesion assay in response to different co-stimulatory mAbs. CEM, a human leukemic T cell line, was stimulated with PMA (10 ng/ml) and ionomycin (IgM) for 24 hr before adhesion assay. Jurkat human leukemic T cell line was transfected with pcDNA3 with entire 4-1BB cDNA and selected for neomycin-resistant clones by limit dilution. 4-1BB expression levels on the cell surface of the transfectants were determined by flow cytometry.

T cells ( $2 \times 10^5$  cells) were suspended in 200 ml of 2  $\mu$ g/ml anti-4-1BB conjugated with biotin in staining solution, PBS containing 1% BSA and incubated at 4°C for 30 minutes. The cells were subsequently washed three

times, re-suspended in 200 ml of phycoerythrin (PE) conjugated streptavidin, 1 µg/ml, and incubated for 30 minutes. After wash, the samples were fixed with 1% paraformaldehyde prior to flow cytometric analysis on the FACScan (Becton Dickinson, Mountainview, CA). Biotin-conjugated mouse IgG (MOPC-21, 5 PharMingen, San Diego, CA) was used for isotype control for anti-4-1BB conjugated with biotin. Gates were set on live cells based on forward versus side scatter profiles. 10,000 events were collected for each sample.

Immobilization of antibodies and FN to microtiter plates 96-well flat bottom polystyrene plates (Costar, Cambridge, MA) were coated overnight at 10 40°C with anti-4-1BB, anti-CD28, at 10 µg/ml in PBS or otherwise at the concentrations indicated in the text. In some cases, the antibody solution was included with FN or BSA as a control at 0.1 µg/ml each. The plates were then rinsed to remove non-adherent proteins and cells were immediately added to the plates after final wash. To block anti-4-1BB, the T cells were labeled with 15 [-5'Cr] sodium chromate, 200 µg/ml at 37°C for 1 hr, and transferred to 96-well plate ( $5 \times 10^4$  cells/well) coated with anti-CD28, anti-4-1BB or both anti-CD28 and anti-4-1BB.

For adhesion assays for primary and Jurkat cells, the plates coated with FN in addition to the antibodies were used. After cells were incubated at 37°C 20 in a carbon monoxide incubator for the indicated time periods, unbound cells were removed by washing the plates with prewarmed culture medium three times and the cells remained bound to plates were lysed in 1% SDS (sodium dodecylsulfate). The lysates were counted for radioactivity and the percentage of bound cells were calculated as a ratio bound to total cpm added to the wells. 25 The adhesion assay for CEM cells were undertaken in the plates where FN was omitted in antibody coating. The adhesion assay for the Jurkat 4-1BB transfectants were performed in the presence or absence of soluble anti-CD3 at 1 µg/ml.

#### Assays for Proliferation

30 The primary T cells which were repeatedly stimulated with anti-CD3 and anti-CD28 as described above were subjected to further activation by soluble anti-CD3, 1 with secondary anti-mouse IgG, 5 µg/ml in the 96-well plates previously coated with co-stimulatory anti-CD28 or anti-4-1BB or with anti-

CD28 at 10 µg/ml plus additional anti-4-1BB at indicated concentrations. In some cases, the cells were co-stimulated with immobilized co-stimulatory antibodies including sub-optimal 0.1 µg/ml FN. After 3 days, proliferation rates were measured by 6-hr pulse-labeling with [<sup>3</sup>]H thymidine, 1.0/well.

- 5           4-1BB signal induced uncharacteristic cell adhesion of PMA/ionomycin-stimulated CEM cells to culture plate. Although both CD28 and 4-1BB are able to co-stimulate T cells similarly for proliferation and IL-2 production, one obvious difference of the two molecules is their expression modes. 4-1BB expression is highly regulated as compared to CD28 which expresses
- 10           constitutively in most T cells. The 4-1BB molecule from most of the CD4+ human lymphoma was not detected. The T cell lines which were tested included Jurkat, CEM, Molt-4, and HUT-78. However, CEM cells were exceptional in readily inducing 4-1BB upon PMA/ionomycin stimulation to extremely high levels compared to those induced by activated primary T cells. CEM cells
- 15           became blastic and aggregated after PMA/ionomycin stimulation, but remained non-adherent to culture plate.

- During the experiments, it became clear that the stimulated CEM cells responded vigorously to plate-bound anti-4-1BB completely spreading to culture plate. The responses were so intense that no extraneous stimulating adhesion
- 20           receptor ligands were necessary. More than 80% of the PMA/ionomycin-stimulated CEM cells were firmly attached to culture plates in response to anti-4-1BB within 1 hr. On the contrary, the CEM cells which were not previously stimulated and, therefore, produced no detectable 4-1BB did not respond to anti-4-1BB at all. Since CD28 is a primary co-stimulatory molecule, CEM cell
- 25           response to anti-CD28 was tested to determine whether this induction of CEM adhesion was unique to 4-1BB co-stimulation. In contrast to anti-4-1BB, anti-CD28 did not induce cell adhesion for both stimulated and un-stimulated CEM cells.

- The distinctive co-stimulatory outcomes from 4-1BB and CD28 in CEM
- 30           cell adhesion indicate that the two co-stimulatory molecules deliver non-overlapping signals. Several anti-CD4 mAbs whose antigens are abundant on CEM cell surface but observed no such responses as seen with anti-4-1BB were tested. The 4-1BB signal altered morphology of CEM cells. It was also

determined that noticeable morphological changes in CEM cells immediately following firm attachment to culture plates in response to anti-4-1BB. The CEM cells remained in dispersed form during culture and usually became clustered after PMA/ionomycin stimulation. There were dramatic changes in cell shape when the stimulated cells were exposed to immobilized anti-4-1BB. Anti-4-1BB signal following PMA/ionomycin stimulation completely altered the round cells to elongated fibroblastic shapes with sharp spikes. This observation suggests that 4-1BB signal may induce cytoskeletal rearrangement which can allow the cells to adapt to cell adhesion to culture plate. A similar morphological change with anti-CD28 was not observed.

The adhesion and morphological changes caused by anti-4-1BB, however, did not affect cell proliferation rate. 4-1BB co-stimulatory signal induced Jurkat cell adhesion to FN. Although CEM cells provided a good model for eliciting 4-1BB roles in T cell activation, there were obstacles for interpreting the results mainly because of PMA/ionomycin stimulation to produce 4-1BB during 4-1BB stimulation. The simultaneous multiple signals made it difficult to discern the 4-1BB action responsible for the final outcomes. To circumvent the problem, stable T cell transfectants that constitutively expressed 4-1BB without prior stimulation to induce 4-1BB expression were developed. Jurkat cells did not express detectable level of 4-1BB. Jurkat cells were transfected to produce 4-1BB with 4-1BB cDNA inserted in expression plasmid pcDNA3. After G418 selection, three transfectant clones producing different levels of 4-1BB, 17-2, 8-1 and 2-7 from lowest to highest in order, were obtained. The 4-1BB expressions of the parental and each Jurkat transfectant were measured by flow cytometry. The 4-1BB expression level of the highest 4-1BB producer, transfectant, 2-7 was still about 10 times lower than those seen in CEM. Therefore, parental Jurkat and these transfectants for 4-1BB-mediated cell adhesion to plate-bound a sub-optimal FN (0.1 µg/ml) which primarily supports integrin-mediated adhesion in T cells were used.

The cell adhesion assay was performed using the plates coated with isotype control b<sub>1</sub>, anti-CD28, anti-4-1BB or both anti-CD28 and anti-4-1BB in addition to FN and measured the cells attached to the plate after 1-5 minutes in culture at 37°C with or without soluble anti-CD3. The 4-1BB transfectants but

not parental Jurkat cells promptly responded to anti-4-1BB only in the presence of anti-CD3. The data from the Jurkat 4-1BB transfectants clearly indicated that cell adhesion in response to anti-4-1BB occurred in a 4-1BB expression level-dependent manner. The highest response was seen in transfectants 2-7 but  
5 lowest in transfectant 17-2. Under the same conditions, control Ig and anti-CD28 mAbs at saturation concentration did not induce such cell adhesion for all the cells tested.

To examine whether 4-1BB and CD28 signals interact with each other, the level of cell adhesion in the presence of both anti-4-1BB and anti-CD28 was  
10 determined. The two co-stimulatory signals resulted in synergistically higher cell adhesion. Therefore, 4-1BB appears to require CD28 signaling for maximal adhesion response. The anti-4-1BB-mediated adhesive responses detected in the presence of anti-CD3 were totally abolished when anti-CD3 was absent. The  
15 critical requirement of anti-CD3 in 4-1BB-mediated cell adhesion indicates that this cell adhesion was not caused simply by an interaction between 4-1BB and anti-4-1BB or FN but rather intermediate CD3 signaling to cell adhesion pathways. Taken together, 4-1BB and CD28 are both co-stimulatory T cells but the 4-1BB roles are different from CD28 in inducing T cell adhesion to FN. The cell adhesion through anti-4-1BB signal did not change proliferation rate in  
20 Jurkat cells as seen in CEM. 4-1BB expression progressively increased by repeated CD3 activation. The link with previous studies and human peripheral T cells was strengthened through a series of flow cytometry experiments with 4-1BB expression patterns during anti-CD3 activation.

The expression levels of 4-1BB are detected only in about 2% of the  
25 T cells freshly prepared from healthy individuals. The levels were increased through repeated *in vitro* anti-CD3 and anti-CD28 activation. T cells stimulated with anti-CD3 and anti-CD28 for 3 to 4 days exhibited about 20% cells in 4-1BB positive but the levels were gradually declined following the peak. The numbers of activated cells in IL-2 without anti-CD3 and anti-CD28 was expanded. The 4-  
30 1BB expression levels were progressively decreased during the culture in IL-2. However, a dramatic increase of 4-1BB both in the cell number in 4-1BB positive and the expression levels were obtained upon re-stimulation with anti-CD3 and anti-CD28 resulting in greater than 50% of the cells positive with 4-

1BB. The repeated cycles of stimulation progressively increased 4-1BB expression levels even higher when additional anti-4-1BB signal is added to anti-CD3 and anti-CD28 stimulation. These observations suggest that the 4-1BB-producing cell population may be in proliferative advantage due to additional 4-1BB co-stimulatory input during repeated stimulation.

A large portion of T cells still registered expression of 4-1BB even after prolonged activation with respect to 4-1BB expression. 4-1BB signaling induced primary T cell adhesion mainly through integrins. The human primary T cells activated by two 3-day consecutive cycles of stimulation with anti-CD3 and anti-CD28 were prepared to increase 4-1BB expression on the cells. The cells were maintained for 7 days in IL-2 prior to re-stimulation. Following each stimulation step, a determination was made as to whether the activated primary T cells could be induced for cell adhesion to sub-optimal FN by 4-1BB signal as observed in CEM and Jurkat cells. The percentages of the cells attached to the plates coated with anti-CD28 or anti-CD28 and anti-4-1BB in addition to sub-optimal FN at 0.1  $\mu\text{g/ml}$  in the presence of soluble anti-CD3 after 1 hr was determined. While anti-CD3 alone or anti-CD3 and anti-4-1BB induced negligible adhesion to FN, anti-CD28 co-stimulation resulted in about 16% bound to the sub-optimal FN-coated plate. However, a dramatic increase in cell adhesion was seen when the activated T cells were co-stimulated by both anti-4-1BB and anti-CD28 resulting in 50% of the cells firmly attached to the plates after 1 hr. Considering that only about 50% of the stimulated T cells used in the assay expressed 4-1BB, the cell adhesion observed may have been the maximum levels achievable.

The cells further activated by repeated re-stimulation gradually lost the responsiveness to signal anti-CD28 alone significantly but not to the combined signals from both anti-CD28 and anti-4-1BB. Integrins, mainly  $\text{X401}$  ( $\text{CD49d/CD29}$ ) and  $\alpha_5\beta_1$  ( $\text{CD49e/CD29}$ ) are primary adhesion molecules responsible to transmit the FN interaction. To determine whether 4-1BB-induced cell adhesion to FN was mediated by the integrins, the cells were incubated with blocking mAb to integrin  $\beta_1$  before adhesion assays. The adhesion induced by anti-4-1BB was effectively inhibited by more than 50% as a result of the pretreatment with anti-integrin. The results suggest that 4-1BB may

activate integrins to promote FN interaction rather than involve in direct association with FN. Murine 4-1BB has been known to have strong affinity to FN but the direct interaction between 4-1BB and FN does not seem to be a major force in this cell adhesion. The FN augmented synergistic 4-1BB effects on CD28 co-stimulation. Because 4-1BB and CD28 cooperated for promoting T cell adhesion to FN, a determination was made as to whether cell adhesive response affect the synergy between 4-1BB and CD28 signals for proliferative responses.

It was hypothesized that if 4-1BB worked cooperatively with CD28 was mediated by cell adhesion, the 4-1BB effect should be further amplified by FN. In fact, it has been shown that FN itself can co-stimulate T cells reducing antigen threshold for T cell activation. To test the possibility, the effects of FN in proliferative responses of the activated primary T cells co-stimulated by anti-CD28, anti-4-1BB, or both antibodies were determined. To maximize the anti-4-1BB effects, a sub-optimal anti-CD28 concentration at 0.5  $\mu\text{g/ml}$  was selected because it leads only marginal proliferation by anti-CD3. Under the conditions, a determination was made with regard to the anti-4-1BB effects on anti-CD28-mediated proliferation with or without FN. Anti-4-1BB alone co-stimulate highly purified human T cells with anti-CD3 modestly. However, anti-4-1BB allowed the sub-optimal anti-CD28 to lead high proliferative response indicating that there was a definite cooperation between CD28 and 4-1BB in co-stimulation. Next addressed was whether this 4-1BB cooperation with CD28 can be amplified by FN. The results of the experiments clearly demonstrate that the synergistic cooperation between CD28 and 4-1BB was greatly enhanced by FN. The sub-optimal FN concentration used in the experiment effected little for CD28 or 4-1BB co-stimulation. The cells under sub-optimal CD28 and FN influences were able to fully respond to anti-CD3 activation by the presence of 4-1BB signal. This indicates that the primary role of 4-1BB is to sensitize T cells to respond to antigenic activation by integrating TCR co-stimulation and cell adhesion.

The anti-4-1BB effects on amplifying T cell proliferative response were totally abolished when 4-1BB-Fc, a competitive blocking reagent to 4-1BB was included in the culture indicating the specificity of the anti-4-1BB. 4-1BB

signaling reduced threshold of CD3 signal required for CD28 co-stimulation. Co-stimulatory signal can reduce antigen threshold. The strengthened co-stimulatory input may reduce the threshold of antigen stimulation. To determine whether synergistic 4-1BB effects on CD28 co-stimulation could further reduce the threshold of CD3 signal, a titration of the anti-CD3 concentrations necessary for activating T cells as measured by proliferation, was measured.

Activated primary T cells were proliferated by varying anti-CD3 concentrations, 0.01, 0.1 and 1 mg/ml under the different co-stimulatory conditions by anti-CD28, anti-4-1BB or both anti-CD28 and anti-4-1BB. The 4-1BB synergistic effects on CD28 co-stimulation allowed high proliferation with 0.1 mg/ml of anti-CD3, which would lead to relatively low proliferative response if the cells were co-stimulated with either anti-CD28 or anti-4-1BB alone. Such a high proliferative response was achieved by more than 10 fold higher anti-CD3 (1 mg/ml) when the cells were co-stimulated by anti-CD28 alone. Therefore, effective anti-CD3 concentration could be reduced by 4-1BB engagement to CD28 co-stimulation. The anti-4-1BB co-stimulation alone, however, resulted in modest proliferation. The results demonstrate that the 4-1BB signal gave rise to a pronounced impact in reducing threshold of anti-CD3 by cooperating with CD28.

To verify 4-1BB effects on CD28 co-stimulation, the T cell proliferative responses 0.1 mg/ml anti-CD3 under the co-stimulation with increasing amounts of anti-4-1BB at the fixed anti-CD28 concentration at 10 mg/ml were measured. The results showed that the synergistic 4-1BB effects on CD28 co-stimulation was dose-dependent manner. Therefore, it was shown that 4-1BB signal synergized CD28-mediated T cell activation by enhancing adhesive response, thereby being able to allow high proliferative responses with the lower anti-CD3 concentration. This 4-1BB function may be important for the T cells needed being continuously activated or survived in limited co-stimulatory signals during chronic immune reaction. In particular, 4-1BB role may be crucial in amplifying cytotoxic T cell responses to eradicate weakly immunogenic tumor cells which often down regulates T cell immune surveillance. Promoted adhesive responses by 4-1BB may be a key intermediating pathways responsible for 4-1BB-mediated amplification in CD8<sup>+</sup> T cell cytotoxicity for tumor cells.



### T Cell Integrin Activation

- Up-regulation of integrin activity is induced by activation of T cells within minutes, suggesting a qualitative alteration in function of the integrin receptor. The integrins co-stimulate T cells activated by sub-mitogenic levels of anti-CD3 in the presence of FN or other appropriate *ECM* proteins. Integrins  $\alpha_4\beta_1$  and  $\alpha_5\beta_1$  which bind to fibronectin (FN) or vesicular cell adhesion molecule-1 (VCAM-1), characteristically play a predominant role in mediating FN co-stimulated T cell proliferation and intracellular  $CA^{+2}$  signaling. FN, mediated adhesion of T cells indicates activation and avidity of integrin.
- The receptors which activate T cells include CD3/TCR complex and CD2, CD7, CD28 and chemokines. Treatment of T cells with phorbol ester, PMA or  $CA^{+}$  ionophore, ionomycin also up-regulates integrin activity, implicating both protein kinase C and intracellular calcium with this regulatory events. Integrins are associated intracellular cytoskeletal proteins and termini of actin stress fiber bundles in cell attachment structures known as focal adhesions. Stimulation of the integrins ultimately leads to Rho-dependent focal adhesion formation that is accompanied by the tyrosine phosphorylation of paxillin as well as changes in the activity of the members of FAK, Src and Csk. Cross-linking of CD28, a glycoprotein on T cells also results in increased adhesion to FN. VCAM-1 and intracellular adhesion molecule-1 (ICAM-1). CD28-mediated regulation 1:1 of  $\beta_1$ -integrin-dependent adhesion involves the association of phosphatidylinositol-3-kinase (PI 3-K). CD28 has been well characterized as a co-stimulatory molecule for T cell activation, regulating IL-2 gene expression.

- The 4-1BB effects on cell adhesion absolutely required anti-CD3 activation but not anti-CD28. Thus, 4-1BB function is tightly controlled by antigenic activation. There was synergy between CD28 and 4-1BB signals in Jurkat cell adhesion and thus the 4-1BB signal may function to complement CD28 co-stimulation for downstream pathways facilitating the TCR signal to adapt to inside-out adhesive responses.

- Following the initial activation of primary T cells, a series of adhesive responses to cell-specific ligands or ECM also co-stimulate T cell proliferation. Integrin activation requires TCR signal to enhance avidity to their ligands. The expression of both 4-1BB and adhesion molecules expression may require

prolonged TCR activation, and 4-1BB may activate cell adhesion maintaining the activated T cells to be at high activation stages which enhance 4-1BB expression by positive feedback amplifying loop especially in weak antigen presentation. The expression of 4-1BB was heavily dependent *in vitro* CD28 co-stimulation and therefore, correlation of 4-1BB and CD28 co-stimulation in primary T cells is more complex to interpret than those in Jurkat 4-1BB transfectants.

The cooperation of the two molecules shown by the essential co-existence of 4-1BB and CD28 in further lowering the threshold of anti-CD3 signal is primarily attributable to the activity of adhesion molecules. Therefore, 4-1BB has the effect of lowering the threshold of antigen receptor signaling, thereby affecting effector T cell function. TCR signaling intensities which is dominantly influenced by co-stimulation, also effects the cytokines such as IF and IL-2 production patterns. The 4-1BB-mediated amplified cytotoxic T cell responses may well result from up-regulated avidity of adhesive molecules on cytotoxic T cells or the ability of the H4-1BB to reduce the antigenic threshold for poorly immunogenic tumors.

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The foregoing description has been directed to particular embodiments of  
30 the invention in accordance with the requirements of the Patent Statutes for the purposes of illustration and explanation. It will be apparent, however, to those skilled in this art that many modifications and changes will be possible without departure from the scope and spirit of the invention. It is intended that the following claims be interpreted to embrace all such modifications.

**WHAT IS CLAIMED IS:**

1. A method of enhancing T cell activation comprising administering an effective amount of a first H4-1BB receptor ligand such that said receptor ligand  
5 comes into contact with at least one T cell, thereby activating it.
2. The method of claim 1 wherein said H4-1BB receptor ligand administered is an agonistic anti-4-1BB monoclonal antibody.
- 10 3. The method of claim 1 wherein said H4-1BB receptor ligand administered is an antagonistic anti-4-1BB monoclonal antibody.
4. The method of claim 1 wherein said first H4-1BB receptor ligand is a H4-1BB protein.  
15
5. The method of claim 1 wherein said first H4-1BB receptor ligand is administered at a dosage range equivalent to or greater than 0.20  $\mu\text{mol}$  to 2.0  $\mu\text{mol}$ , one to three times per day.
- 20 6. The method of claim 5 wherein the administration of said first H4-1BB receptor ligand is accomplished through an administration of a pharmaceutical formulation such as a tablet or intravenous injection, wherein administration of said first H4-1BB receptor ligand does not lessen the effectiveness of said first H4-1BB receptor ligand in activating said at least one T cell.  
25
7. A method of enhancing T cell activation of claim 1 further comprised by administering a second stimulatory molecule, in conjunction with said first H4-1BB receptor ligand such that each of these compounds comes into contact with said at least one T cell.  
30
8. The method of claim 7, wherein said second stimulatory molecule is selected from the group consisting essentially of:
  - a) an anti-CD3 antibody;

- b) an anti-CD28 antibody; and
- c) the CD28 protein.

9. The method of claim 5 wherein said first H4-1BB receptor ligand is  
5 administered at a dosage range equivalent to or greater than 0.20  $\mu$ mol to 2.0  $\mu$ mol, one to three times per day, and wherein said second stimulatory molecule is administered at a dosage range equivalent to or greater than 0.10  $\mu$ mole to 2.0  $\mu$ mol, one to three times per day.

10 10. The method of claim 5 wherein the administration of said first H4-1BB receptor ligand and said second stimulatory molecule is accomplished through an administration of a pharmaceutical formulation such as a tablet or intravenous injection.

15 11. The method of claim 9, further comprising the use of a third stimulatory molecule, said second co-stimulatory molecule being an anti-CD3 antibody and said third stimulatory molecule being an anti-CD28 antibody.

12. The method of claim 11 wherein the administration of said first H4-1BB  
20 receptor ligand, said second stimulatory molecule, and said third stimulatory molecule is accomplished through an administration of a pharmaceutical formulation such as a tablet or intravenous injection.

13. A method of treating cancerous tumors such that said cancerous tumors  
25 are reduced, comprising the administration of a first effective amount of H4-1BB receptor ligand such that said compound comes into contact with at least one T cell, and wherein said H4-1BB protein works in conjunction with a second stimulatory molecule, such that both of these compounds come into contact with said at least one T cell.

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14. The method of claim 13, wherein said second stimulatory molecule is selected from the group consisting essentially of:

- a) an anti-CD3 antibody;



- b) an anti-CD28 antibody; and
- c) the CD28 protein.

15. The method of claim 13 wherein said first H4-1BB receptor ligand is  
5 administered at a dosage range equivalent to or greater than 2.0  $\mu$ mol to  
8.0  $\mu$ mol, one to three times per day, and wherein said second stimulatory  
molecule is administered at a dosage range equivalent to or greater than  
0.10  $\mu$ mol to 2.0  $\mu$ mol, one to three times per day.

10 16. The method of claim 13 wherein the administration of said first H4-1BB  
receptor ligand and said second stimulatory molecule is accomplished through an  
oral administration of a pharmaceutical formulation such as a tablet or injection.

17. A method of enhancing cytokine production in CD4+ and CD8+ T cells  
15 comprising:

- a) administering effective amounts of three compounds  
contemporaneously, said three compounds comprising:
  - i) an anti-H4-1BB antibody;
  - ii) an anti-CD3 antibody;
  - 20 iii) an anti-CD28 antibody; and

wherein said administration is such that each of said three compounds  
comes into contact with said at least one T cell.

18. The method of claim 17 wherein the cytokine whose production is  
25 enhanced is selected from the group consisting of:

- a) gamma interferon (IF);
- b) interleukin 1 (IL-1);
- c) interleukin 10 (IL-10);
- d) B cell growth factor (BCGF);
- 30 e) B cell differentiating factor (BCDF); and
- f) interleukin 2 (IL-2).

19. A method of treating an autoimmune reaction comprising administering an effective amount of an antagonist to the H4-1BB protein, said antagonist being capable of preventing the H4-1BB protein from binding to a H4-1BB receptor, wherein said antagonist is itself incapable of activating CD4+ or CD8+  
5 T cells.

20. The method of claim 19 wherein said first H4-1BB receptor ligand is administered at a dosage range equivalent to or greater than 0.20  $\mu\text{mol}$  to 2.0  $\mu\text{mol}$ , one to three times per day.

10

21. The method of claim 19 wherein the administration of said first H4-1BB receptor ligand is accomplished through an administration of a pharmaceutical formulation such as a tablet or intravenous injection.

15 22. The method of claim 19 wherein said autoimmune reaction treated is one associated with an autoimmune disease, wherein said autoimmune disease is selected from the group consisting of:

- a) Diabetes Mellitus;
- b) Rheumatoid Arthritis; and
- 20 c) Systemic Lupus Erythematosus.

23. The method of claim 19 wherein said method of preventing an autoimmune reaction is used to suppress an autoimmune response occurring after an organ transplantation.

25

24. A method for monitoring the level of progression of Acquired Immune Deficiency caused by the pathogenic virus HIV-1 is accomplished by measuring the level of H4-1BB expression in a known quantity of tissue comprising:

- a) collecting a sample of CD8+ T cells;
- 30 b) fractionating cells and retaining the lysate to test for the presence of the H4-1BB using a monoclonal antibody(s) directed against said H4-1BB protein;

- c) attaching to said antibody(s) another molecule capable of being detected by a scintillation counter or fluorescent microscope or other means useful in measuring the degree of antibody binding; and
  - 5 d) determining the level of H4-1BB expression in said sample of CD8+ T cells for comparison with a known measurement that reflects a normal level of expression of H4-1BB expression in a same size sample of an equivalent tissue type.
- 10 25. A method of preventing an autoimmune reaction comprising administering an effective amount of an antagonist to the H4-1BB protein, said antagonist being capable of preventing the H4-1BB protein from binding to the H4-1BB receptor ligand, wherein said antagonist is itself incapable of activating CD4+ or CD8+ T cells.
- 15 26. A method of interfering with HIV-1 progression comprising the step of administering an effective amount of an agent capable of binding said H4-1BB receptor protein on CD4+ T lymphocytes, thereby blocking it.
- 20 27. The method of claim 26 wherein said agent is selected from the group consisting of:
- a) a 4-1BB-Fc molecule;
  - b) a blocking anti-4-1BB monoclonal antibody; and
  - c) a fusion protein comprising a portion of said H4-1BB protein.
- 25 28. An antibody that is immunoreactive with a purified human 4-1BB polypeptide comprising the N-terminal amino acid sequence Phe-Glu-Arg-Thr-Arg-Ser-Leu-Gln-Asp-Pro-Cys-Ser-Asn-Cys-Pro-Ala-Gly-Thr.
- 30 29. A method of blocking T cell activation comprising administering an effective amount of an H4-1BB protein antagonist such that said protein antagonist prevents the activation of the H4-1BB receptor.

30. A method of treating Human Acquired Immune Deficiency caused by the viral pathogen HIV-1, comprising administering an effective amount of a first H4-1BB receptor ligand, such that said receptor ligand comes into contact with at least one T cell, thereby activating at least one CD8+ T cell.

5

31. The method of claim 30 wherein said first H4-1BB receptor ligand or agonistic mAb is administered at a dosage range equivalent to or greater than 0.20  $\mu$ mol to 2.0  $\mu$ mol, one to three times per day.

10 32. The method of claim 30 wherein said at least one CD8+ T cell is capable of killing HIV-1 infected cells selected from the group consisting of:

- a) CD4+ cells;
- b) astrocytes;
- c) macrophages;
- 15 d) dendritic cells; and
- e) microglial cells.

33. The method of claim 30 wherein the administration of said first H4-1BB receptor ligand is accomplished through an administration of a pharmaceutical  
20 formulation such as a tablet or intravenous injection.

34. The method of claim 2 wherein said agonistic anti-4-1BB monoclonal antibody is a monoclonal antibody designated BBK-1.

25 35. The monoclonal antibody of claim 34 further comprising a hybridoma capable of producing said monoclonal antibody designated BBK-1.

36. The method of using the monoclonal antibody of claim 34 to enhance T cell activation comprising the step of treating T cells that have expressed  
30 receptor protein H4-1BB with said monoclonal antibody designated BBK-1.

37. The method of claim 3 wherein said antagonistic anti-4-1BB monoclonal antibody is a monoclonal antibody designated BBK-2.

38. The monoclonal antibody of claim 37 further comprising a hybridoma capable of producing said monoclonal antibody designated BBK-2.

39. The method of using the monoclonal antibody of claim 37 to enhance  
5 T cell activation comprising the step of treating T cells that have expressed receptor protein H4-1BB with said monoclonal antibody designated BBK-2.

40. The method of claim 3 wherein said antagonistic anti-4-1BB monoclonal antibody is a monoclonal antibody designated BBK-3.

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41. The monoclonal antibody of claim 40 further comprising a hybridoma capable of producing said monoclonal antibody designated BBK-3.

42. The method of using the monoclonal antibody of claim 40 to enhance  
15 T cell activation comprising the step of treating T cells that have expressed receptor protein H4-1BB with said monoclonal antibody designated BBK-3.

43. The method of claim 2 wherein said agonistic anti-4-1BB monoclonal antibody is a monoclonal antibody designated BBK-4.

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44. The monoclonal antibody of claim 43 further comprising a hybridoma capable of producing said monoclonal antibody designated BBK-4.

45. The method of using the monoclonal antibody of claim 43 to enhance  
25 T cell activation comprising the step of treating T cells that have expressed receptor protein H4-1BB with said monoclonal antibody designated BBK-4.

46. A method of enhancing T cell activation comprising administering an effective amount of a first H4-1BB receptor ligand such that said receptor ligand  
30 comes into contact with at least one T cell, thereby activating it, wherein said H4-1BB receptor ligand is an agonistic anti-4-1BB monoclonal antibody.

47. A method of enhancing T cell activation comprising administering an effective amount of a first H4-1BB receptor ligand such that said receptor ligand comes into contact with at least one T cell, thereby activating it, wherein said H4-1BB receptor ligand is an antagonistic anti-4-1BB monoclonal antibody.

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48. A method of enhancing T-cell activation comprising administering an effective amount of a first H4-1BB receptor ligand such that said receptor ligand comes into contact with at least one T cell, thereby activating it, wherein said H4-1BB receptor ligand is a H4-1BB protein.

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49. The method of any one of claims 46 to 48 wherein said first H4-1BB receptor ligand is administered at a dosage range equivalent to or greater than 0.20  $\mu$ mol to 2.0  $\mu$ mol, one to three times per day.

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50. The method of claim 49 wherein the administration of said first H4-1BB receptor ligand is accomplished through administration of a pharmaceutical formulation such as a tablet or intravenous injection, and wherein administration of said first H4-1BB receptor ligand does not lessen the effectiveness of said first H4-1BB receptor ligand in activating said at least one T cell.

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51. The method of any one of claims 46 to 50 further comprising administering a second stimulatory molecule, in conjunction with said first H4-1BB receptor ligand such that the molecule and the ligand both come into contact with said at least one T cell.

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52. The method of claim 51, wherein said second stimulatory molecule is selected from the group consisting essentially of:

- a) an anti-CD3 antibody;
- b) an anti-CD28 antibody; and
- c) the CD28 protein.

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53. The method of claim 51 wherein said first H4-1BB receptor ligand is administered at a dosage range equivalent to or greater than 0.20  $\mu$ mol to

2.0  $\mu\text{mol}$ , one to three times per day, and wherein said second stimulatory molecule is administered at a dosage range equivalent to or greater than 0.10  $\mu\text{mole}$  to 2.0  $\mu\text{mol}$ , one to three times per day.

5 54. The method of claim 51 wherein the administration of said first H4-1BB receptor ligand and said second stimulatory molecule is accomplished through an administration of a pharmaceutical formulation such as a tablet or intravenous injection.

10 55. The method of claim 53, further comprising the use of a third stimulatory molecule, said second co-stimulatory molecule being an anti-CD3 antibody and said third stimulatory molecule being an anti-CD28 antibody.

15 56. The method of claim 55 wherein the administration of said first H4-1BB receptor ligand, said second stimulatory molecule, and said third stimulatory molecule is accomplished through an administration of a pharmaceutical formulation such as a tablet or intravenous injection.

20 57. A method of treating cancerous tumors such that said cancerous tumors are reduced, comprising the administration of a first effective amount of H4-1BB receptor ligand such that said ligand comes into contact with at least one T cell, and wherein said H4-1BB works in conjunction with a second stimulatory molecule, such that the ligand and the molecule both come into contact with said at least one T cell.

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58. The method of claim 57, wherein said second stimulatory molecule is selected from the group consisting essentially of:

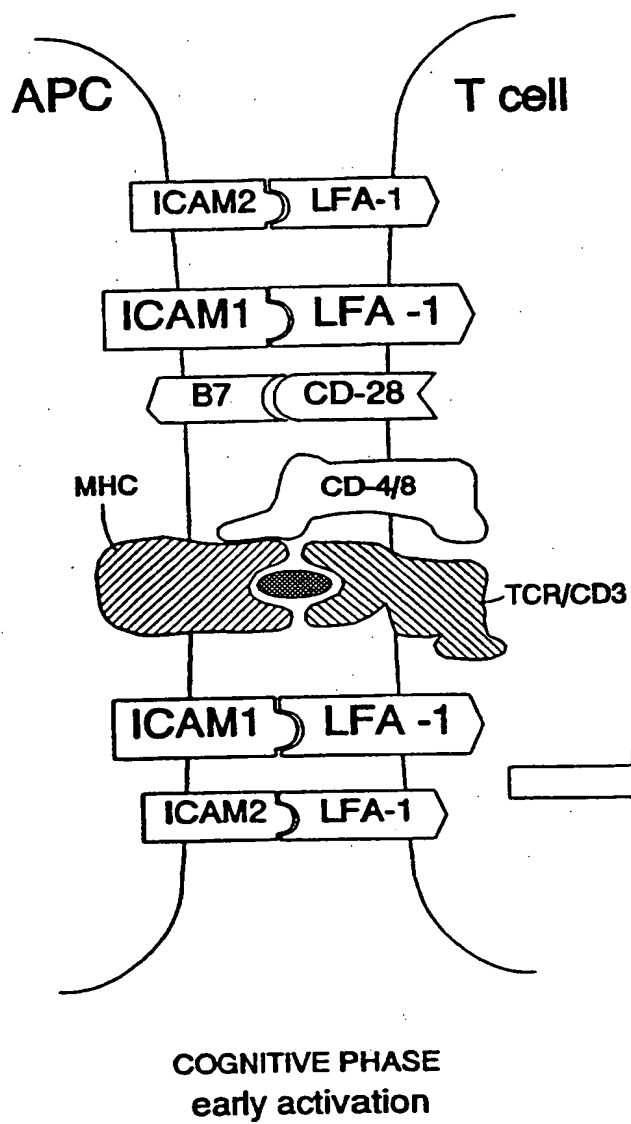
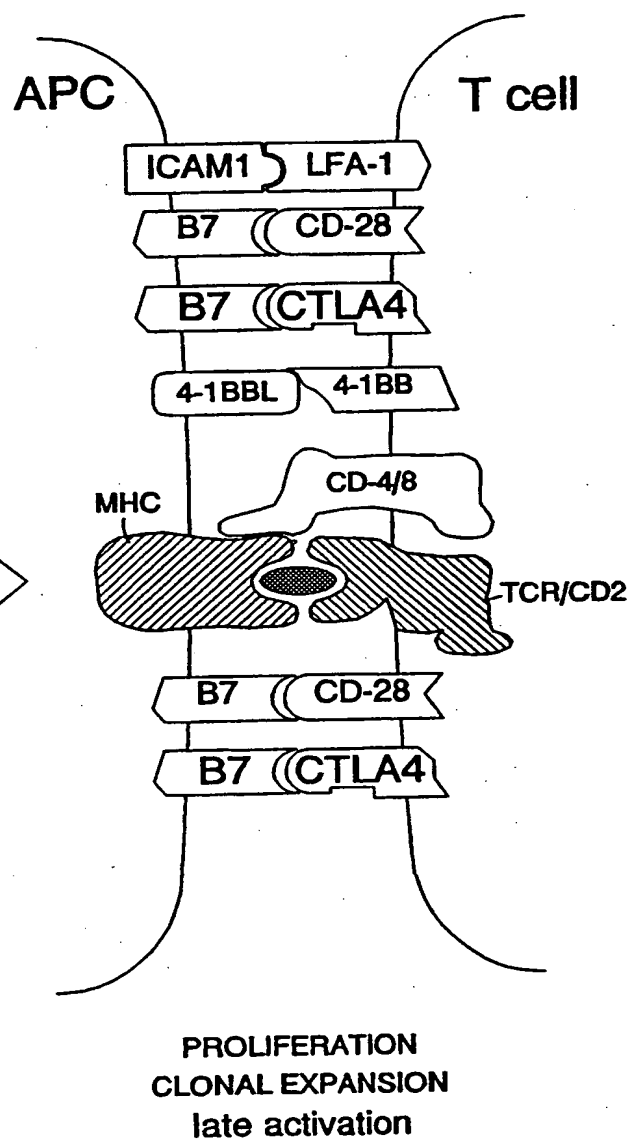
- a) an anti-CD3 antibody;
- b) an anti-CD28 antibody; and
- 30 c) the CD28 protein.

59. The method of claim 57 wherein said first H4-1BB receptor ligand is administered at a dosage range equivalent to or greater than 2.0  $\mu\text{mol}$  to

8.0  $\mu\text{mol}$ , one to three times per day, and wherein said second stimulatory molecule is administered at a dosage range equivalent to or greater than 0.10  $\mu\text{mol}$  to 2.0  $\mu\text{mol}$ , one to three times per day.

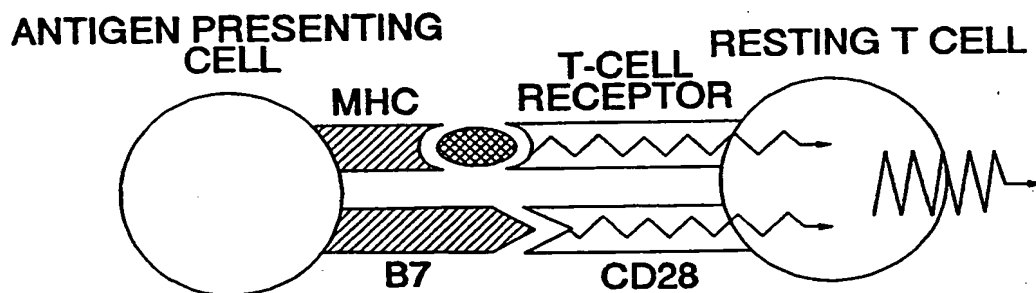
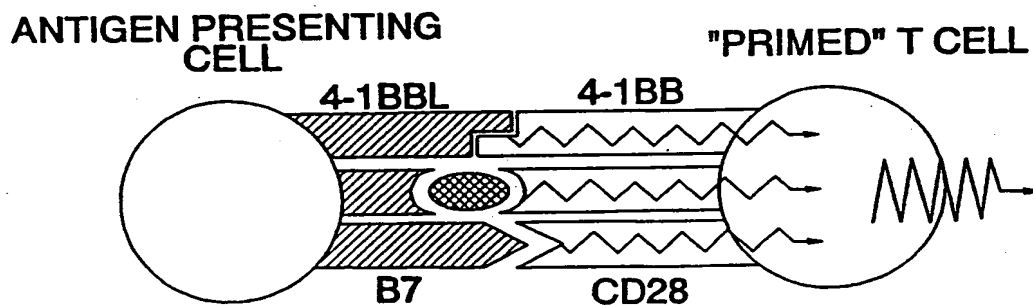
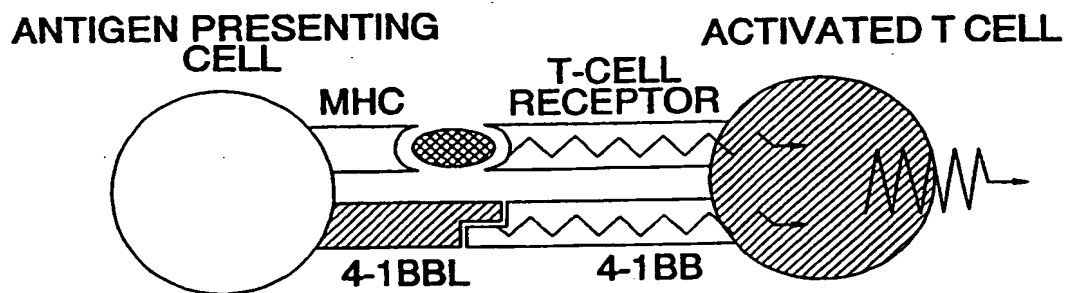
- 5 60: The method of claim 57 wherein the administration of said first H4-1BB receptor ligand and said second stimulatory molecule is accomplished through an oral administration of a pharmaceutical formulation such as a tablet or injection.



*Fig. 1**Fig. 2*

2/3

## NORMAL T-CELL ACTIVATION PATHWAY

*Fig. 3**Fig. 4**Fig. 5*

3/3

## BLOCKING STEPS IN T-CELL ACTIVATION PATHWAY

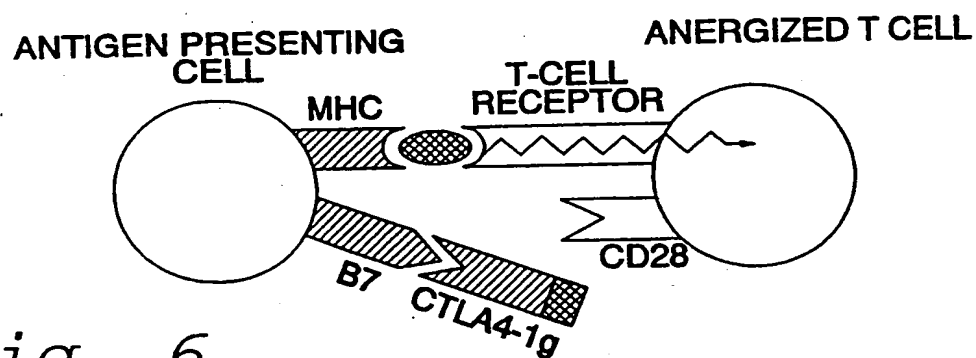


Fig. 6

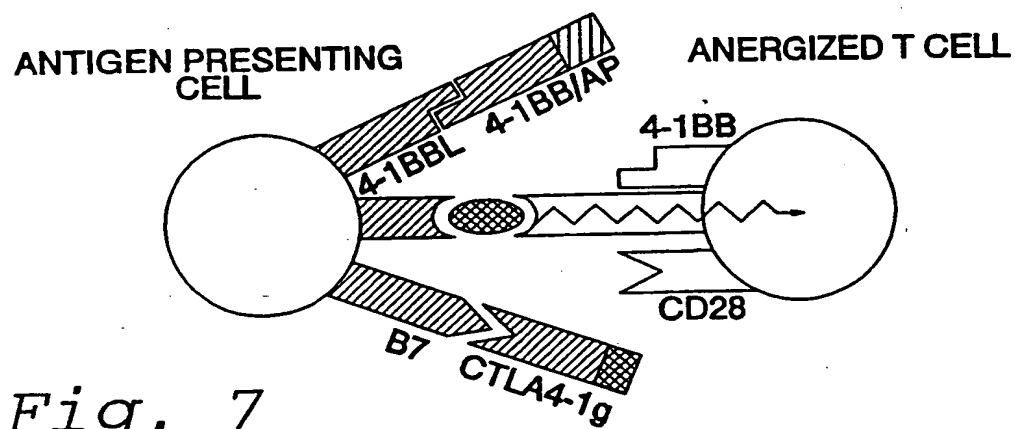


Fig. 7

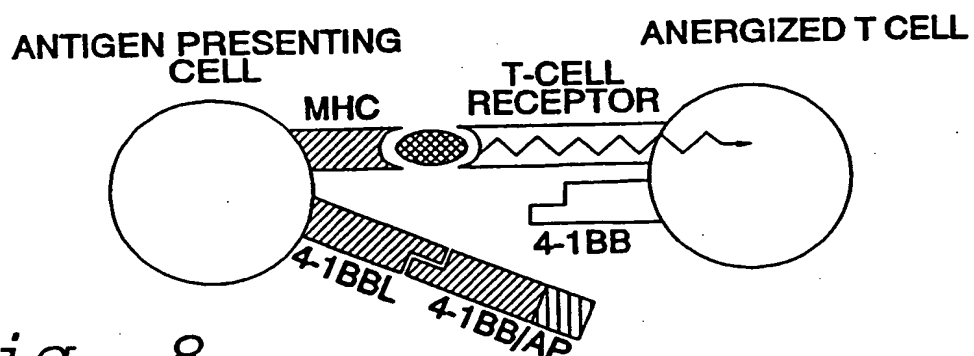


Fig. 8

## SUBSTITUTE SEQUENCE LISTING

&lt;110&gt; Advanced Research and Technology Institute, Inc.

&lt;120&gt; METHOD OF USING HUMAN RECEPTOR PROTEIN 4-1BB

&lt;130&gt; 740.011WO1

&lt;140&gt; PCT/US 99/00823

&lt;141&gt; 1999-01-14

&lt;150&gt; 09/007,097

&lt;151&gt; 1998-01-14

&lt;160&gt; 10

&lt;170&gt; FastSEQ for Windows Version 3.0

&lt;210&gt; 1

&lt;211&gt; 2350

&lt;212&gt; DNA

&lt;213&gt; Mus musculus

&lt;400&gt; 1

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35      40      45
Pro Ser Thr Phe Ser Ser Ile Gly Gly Gln Pro Asn Cys Asn Ile Cys
50      55      60
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65      70      75      80
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Lys Gln Gly Cys Lys Thr Cys Ser Leu Gly Thr Phe Asn Asp Gln Asn
115     120     125
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130     135     140
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145     150     155     160
Pro Val Val Ser Phe Ser Pro Ser Thr Thr Ile Ser Val Thr Pro Glu
165     170     175
Gly Gly Pro Gly His Ser Leu Gln Val Leu Thr Leu Phe Leu Ala
180     185     190
Leu Thr Ser Ala Leu Leu Leu Ala Leu Ile Phe Ile Thr Leu Leu Phe
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aga tca ttg cag gat cct tgt agt aac tgc cca gct ggt aca ttc tgt 151  
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gat aat aac agg aat cag att tgc agt ccc tgt cct cca aat agt ttc 199  
Asp Asn Asn Arg Asn Gln Ile Cys Ser Pro Cys Pro Pro Asn Ser Phe  
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55 60 65

ggt gtt ttc agg acc agg aag gag tgt tcc tcc acc agc aat gca gag 295  
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**SUBSTITUTE SHEET (RULE 26)**

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Lys	Asp	Cys	Cys	Phe	Gly	Thr	Phe	Asn	Asp	Gln	Lys	Arg	Gly	Ile	Cys	
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cga	ccc	tgg	aca	aac	tgt	tct	ttg	gat	gga	aag	tct	gtg	ctt	gtg	aat	487
Arg	Pro	Trp	Thr	Asn	Cys	Ser	Leu	Asp	Gly	Lys	Ser	Val	Leu	Val	Asn	
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gcg	ttg	ctc	ttc	ctg	ctg	ttc	ttc	ctc	acg	ctc	cgt	ttc	tct	gtt	gtt	679
Ala	Leu	Leu	Phe	Leu	Leu	Phe	Phe	Leu	Thr	Leu	Arg	Phe	Ser	Val	Val	
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Arg	Pro	Val	Gln	Thr	Thr	Gln	Glu	Glu	Asp	Gly	Cys	Ser	Cys	Arg	Phe	
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Thr Lys Lys Gly Cys Lys Asp Cys Cys Phe Gly Thr Phe Asn Asp Gln
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Lys Arg Gly Ile Cys Arg Pro Trp Thr Asn Cys Ser Leu Asp Gly Lys
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Ser Val Leu Val Asn Gly Thr Lys Glu Arg Asp Val Val Cys Gly Pro
145              150              155              160
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Pro Ala Arg Glu Pro Gly His Ser Pro Gln Ile Ile Ser Phe Phe Leu
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Ala Leu Thr Ser Thr Ala Leu Leu Phe Leu Leu Phe Phe Leu Thr Leu
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Arg Phe Ser Val Val Lys Arg Gly Arg Lys Lys Leu Leu Tyr Ile Phe
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Lys Gln Pro Phe Met Arg Pro Val Gln Thr Thr Gln Glu Glu Asp Gly
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&lt;211&gt; 24

&lt;212&gt; PRT

&lt;213&gt; Artificial Sequence

&lt;220&gt;

&lt;221&gt; ZN\_FING

&lt;222&gt; 2...3, 5...13, 15...17, 19...21, 23

&lt;223&gt; Putative zinc finger structure

&lt;400&gt; 9

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&lt;210&gt; 10

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&lt;212&gt; PRT

&lt;213&gt; Homo sapiens

&lt;400&gt; 10

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Phe Glu Arg Thr Arg Ser Leu Gln Asp Pro Cys Ser Asn Cys Pro Ala
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# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/00823

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A61K39/395 A61K38/17 G01N33/577 C07K16/28 //C12N5/20

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 96 29348 A (INDIANA UNIVERSITY FOUNDATION) 26 September 1996  see page 5, line 16-18 see page 6, line 2-10 see page 7, line 1-15 - line 24-29 see page 17, line 7 - page 19, line 8 see page 25, line 13-29 see claim 11	1-6, 19-23, 25-50
A	---	24
	--- -/--	



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

\* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

31 May 1999

Date of mailing of the international search report

10/06/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Covone, M

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/00823

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	SHUFORD W W ET AL: "4- 1BB costimulatory signals preferentially induce CD8+ T cell proliferation and lead to the amplification in vivo of cytotoxic T cell responses." JOURNAL OF EXPERIMENTAL MEDICINE, (1997 JUL 7) 186 (1) 47-55. JOURNAL CODE: I2V. ISSN: 0022-1007., XP002104259 United States see page 6, line 6-13 see page 8, line 5-20 see page 10, line 26 - page 11, line 4 see page 12, line 26-35 see figures 2,4	1,2, 4-10, 13-16, 19-23, 29, 34-36, 42-54, 57-60
A		11,12, 17,18, 55,56
X	DEBENEDETTE M A ET AL: "Costimulation of CD28 - T lymphocytes by 4- 1BB ligand." JOURNAL OF IMMUNOLOGY, (1997 JAN 15) 158 (2) 551-9. JOURNAL CODE: IFB. ISSN: 0022-1767., XP002104260 United States see abstract see page 552, left-hand column, paragraph 5 - page 554, left-hand column, paragraph 3 see figures 1,3	1,4-10, 13-16, 29, 46-54, 57-60
A		11,12, 17,18, 55,56
X	MELERO I ET AL.: "Monoclonal antibodies against the 4-1BB T-cell activation molecule eradicate established tumors" NATURE MED, vol. 3, no. 6, June 1997, pages 682-685, XP002104261 see abstract see page 682, left-hand column, paragraph 3 see page 684, right-hand column, paragraph 3	1,2,4-6, 34-36, 43-46, 48-50
X	WO 97 33898 A (HUMAN GENOME SCIENCES INC ;NI JIAN (US); GENTZ REINER L (US); YU G) 18 September 1997  see page 4, paragraph 8 - page 6, paragraph 2 see page 41, paragraph 7 - page 45, paragraph 1	1,4-6, 19-23, 25-27, 29-33, 48-51

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 99/00823

### Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
See FURTHER INFORMATION SHEET PCT/ISA/210
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

SEE ADDITIONAL SHEET

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☒ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1 2 4-18 34-36 43-60

Methods to enhance T cell activation by administering H4-1BB receptor ligand agonists (alone or in combination with CD3 and/or CD28 ligands)

2. Claims: 3 19-33 37-42

Methods to block T cell activation by administering H4-1BB receptor ligand antagonists

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Although claims 1-16, 19-23, 25-27, 30-34, 36, 37, 39, 40, 42, 43, 45, 57-60 (all completely) and 17, 18, 29, 46-56 (all partially) are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.

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Claims Nos.: 1-16,19-23,25-27, 30-34,36,37,39,40,42,43,45,57-60  
(completely) 17,18,29,46-56 (partially)

Rule 39.1(iv) PCT - Method for treatment of the human or animal body by therapy

# INTERNATIONAL SEARCH REPORT

information on patent family members

International Application No

PCT/US 99/00823

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9629348 A	26-09-1996	AU 5369996 A	08-10-1996
WO 9733898 A	18-09-1997	AU 5710996 A	01-10-1997